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Paul Pangaro Professor of Practice

HCII Seminar Series Human-Computer Interaction Institute Carnegie Mellon University April 2019

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Organizing Principle

"I shall act always...



Organizing Principle

"I shall act always so as to increase...



Organizing Principle

"I shall act always so as to increase the total number of choices."



Organizing Principle

"I shall act always so as to increase the total number of choices."



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"I shall act always so as to increase the total number of choices."

Click for PDF of "Ethics and Second-Order Cybernetics", 1991

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- Heinz von Foerster



Organizing Principle

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- Ethical Imperative, Heinz von Foerster





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Ethical Interfaces — Axiom #1

"As a designer, I shall act always so as to increase the total number of choices for a user."

What the hell does this mean? How do we do this?

- Ethical Imperative, Interaction Designers



The Design of Ethical Interfaces Interface Quandry #1

a. Recommendation Engines

Recommendations are based on who the user was - recommendations are based on the past.

At worst, the interface presumes a non-evolving, non-living user.





The Design of Ethical Interfaces Interface Quandry #1

b. Search Engines

Search results are based on who the user was - search results are grounded in the past.

Search results are "of the past" – they are "dead on arrival."



The Design of Ethical Interfaces Interface Quandry #1

Recommendations & Search Results = Looking Backward

treating us as we used to be, as if we are dead.

Questions are alive — questions are "of the now". How would a user manifest as *alive* in these interactions?

These engines deliver outcomes based on the past –

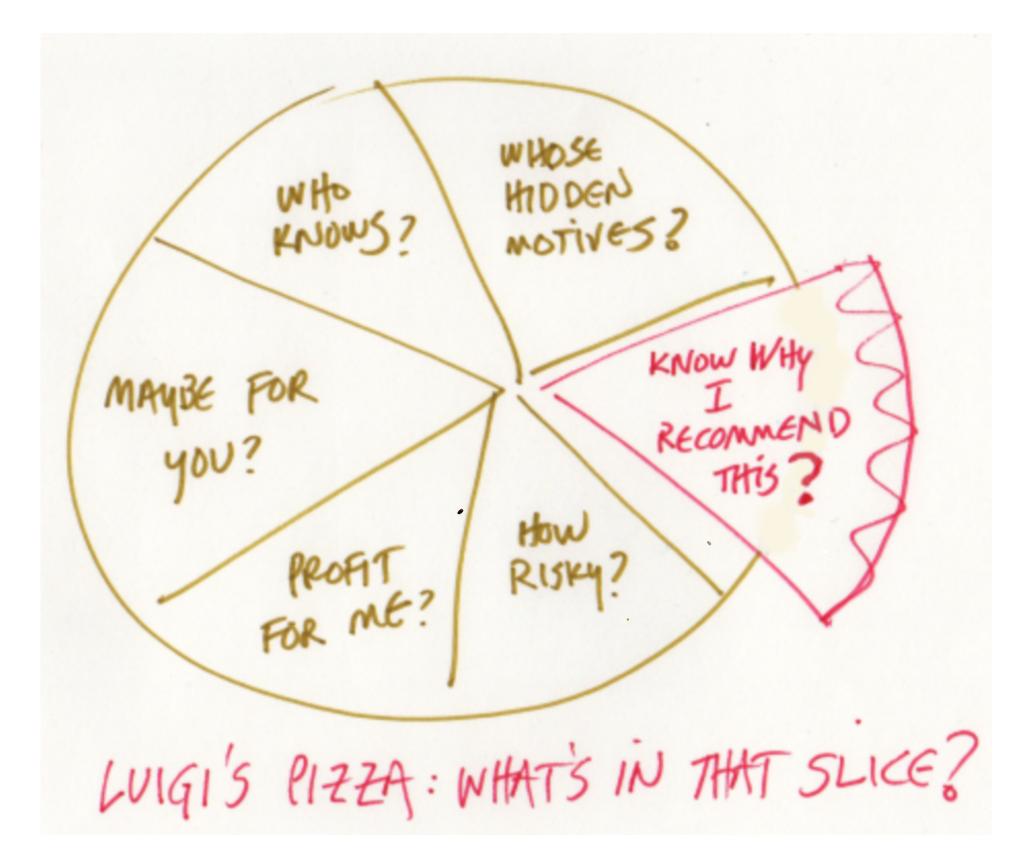


The Design of Ethical Interfaces I. Treat Users as Alive & Evolving **Design Prototype #1: Build Question Engines Compute relevant questions that invite** a generative conversation such that novel, forward-seeing choices may be explored.



The Design of Ethical Interfaces Interface Quandry #2

Luigi's Pizza – A Parable



Click for more about Luigi's Pizza



The Design of Ethical Interfaces II. Give Users Equal Agency

Design Prototype #2 — Universal Dialog UI

Always incorporate a dialogical interface so that a user can question the computed offering of any recommendation or result.

"Why did you recommend that? Where did that result come from?" "Did you consider this (objective) factor or this (subjective) concern?"





The Design of Ethical Interfaces III. Guide Users to Valuable Conversations

Design Prototype #3 — "Intelligent Conversation" Metric

Implement a heuristic to evaluate a conversation in terms of its intelligence and value, in order to draw human attention to generative interactions.

In contrast to the "Turing Test, let's build a "Turning Test."

Click for more



Design & Prototyping – Research Questions

- **#1. Question Engine Do users evolve better understanding?** #2. Universal Dialog UI – Do users increase their agency? **#3.** Turning Test – Do users improve their focus of attention?





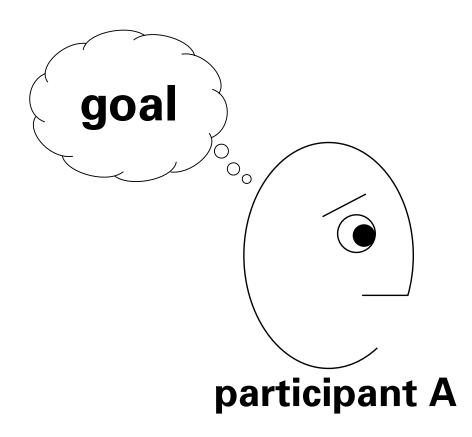
Design & Prototyping – Research Questions

- **#1. Question Engine Do users evolve better understanding?** #2. Universal Dialog UI – Do users increase their agency? **#3.** Turning Test – Do users learn from the conversation?

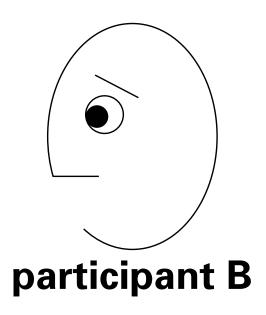


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Conversational Frame

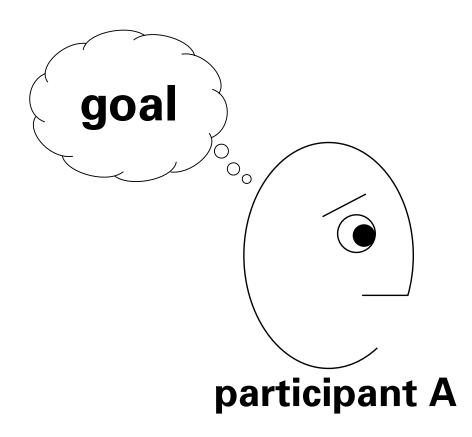


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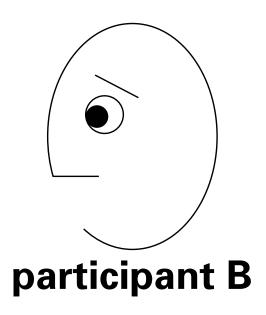




A participant has a goal.

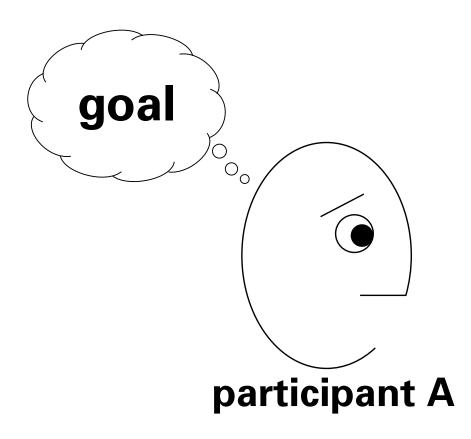


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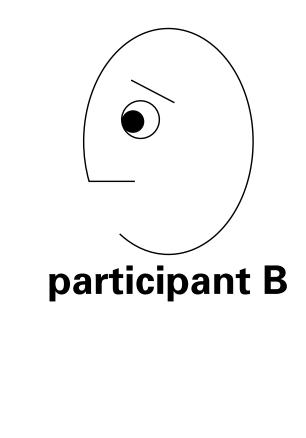


Chooses a context.



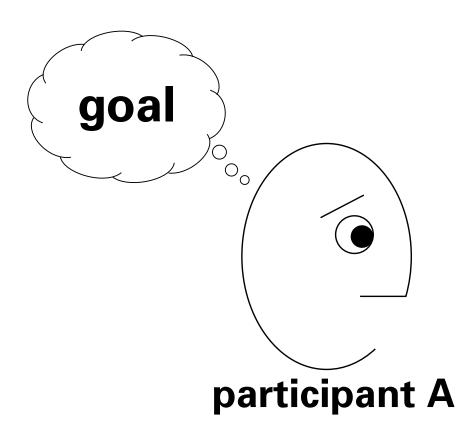
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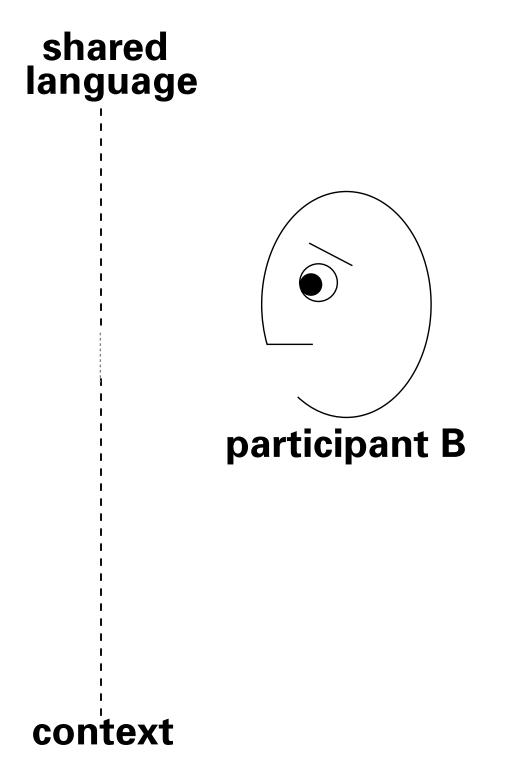


context

Chooses a language.

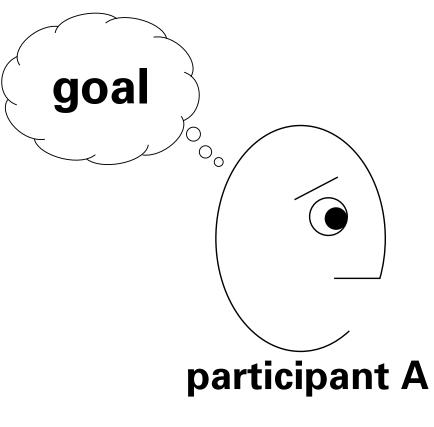


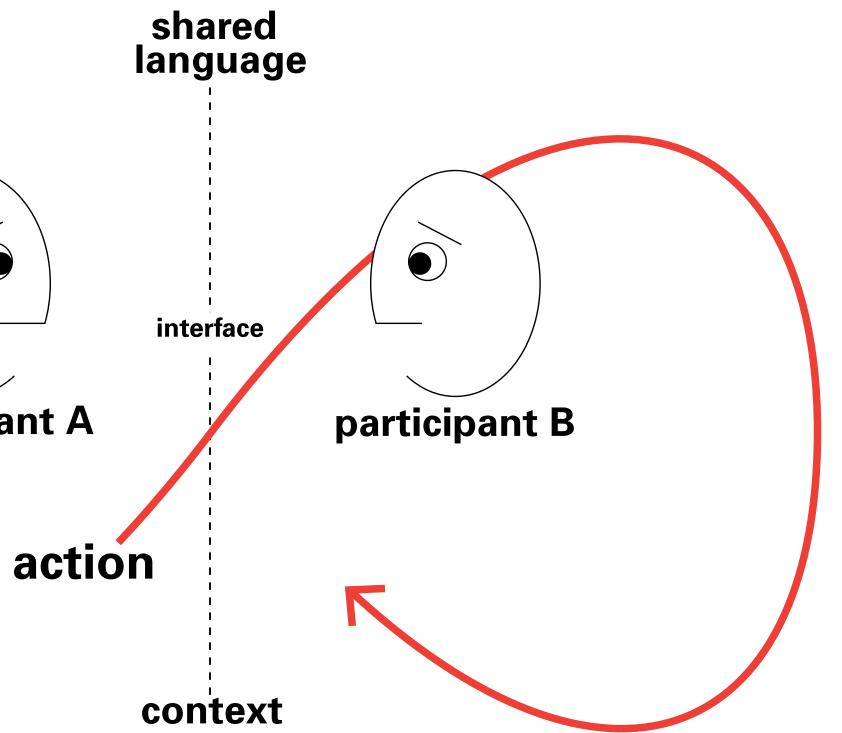
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Begins an exchange.

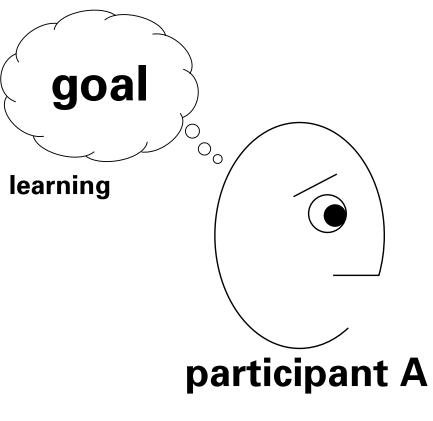


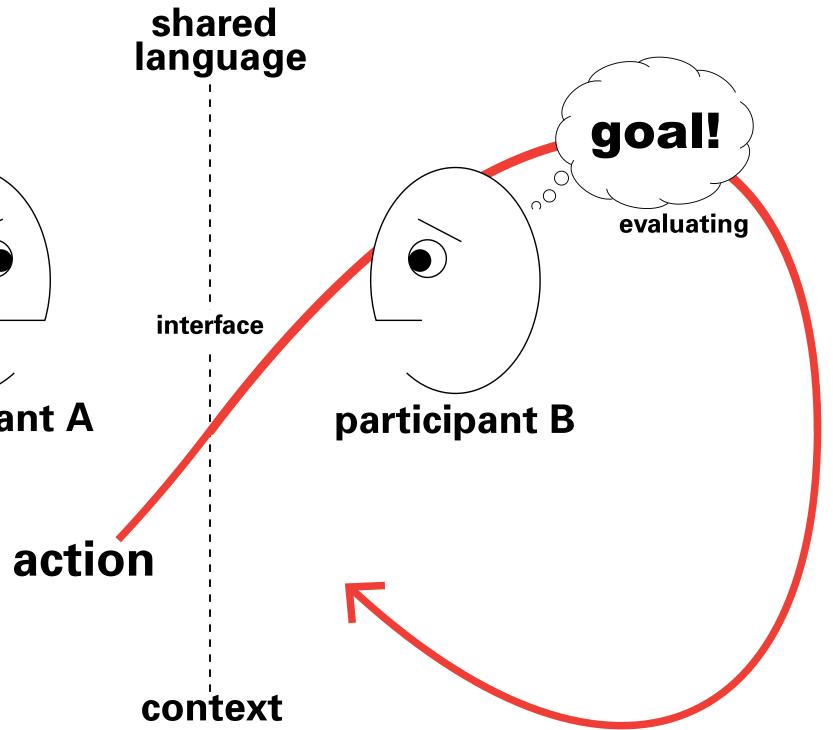


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May evoke a response...



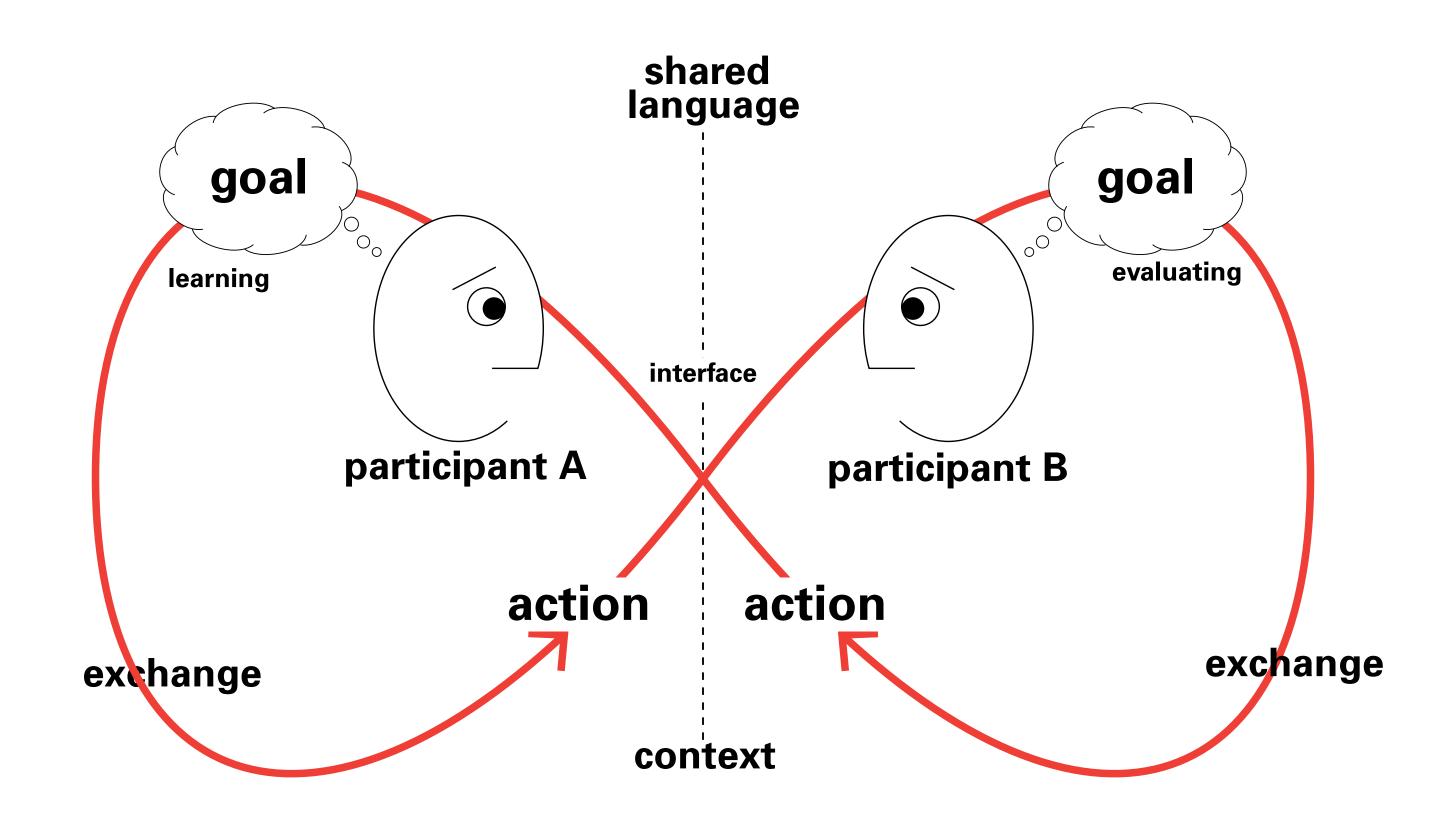


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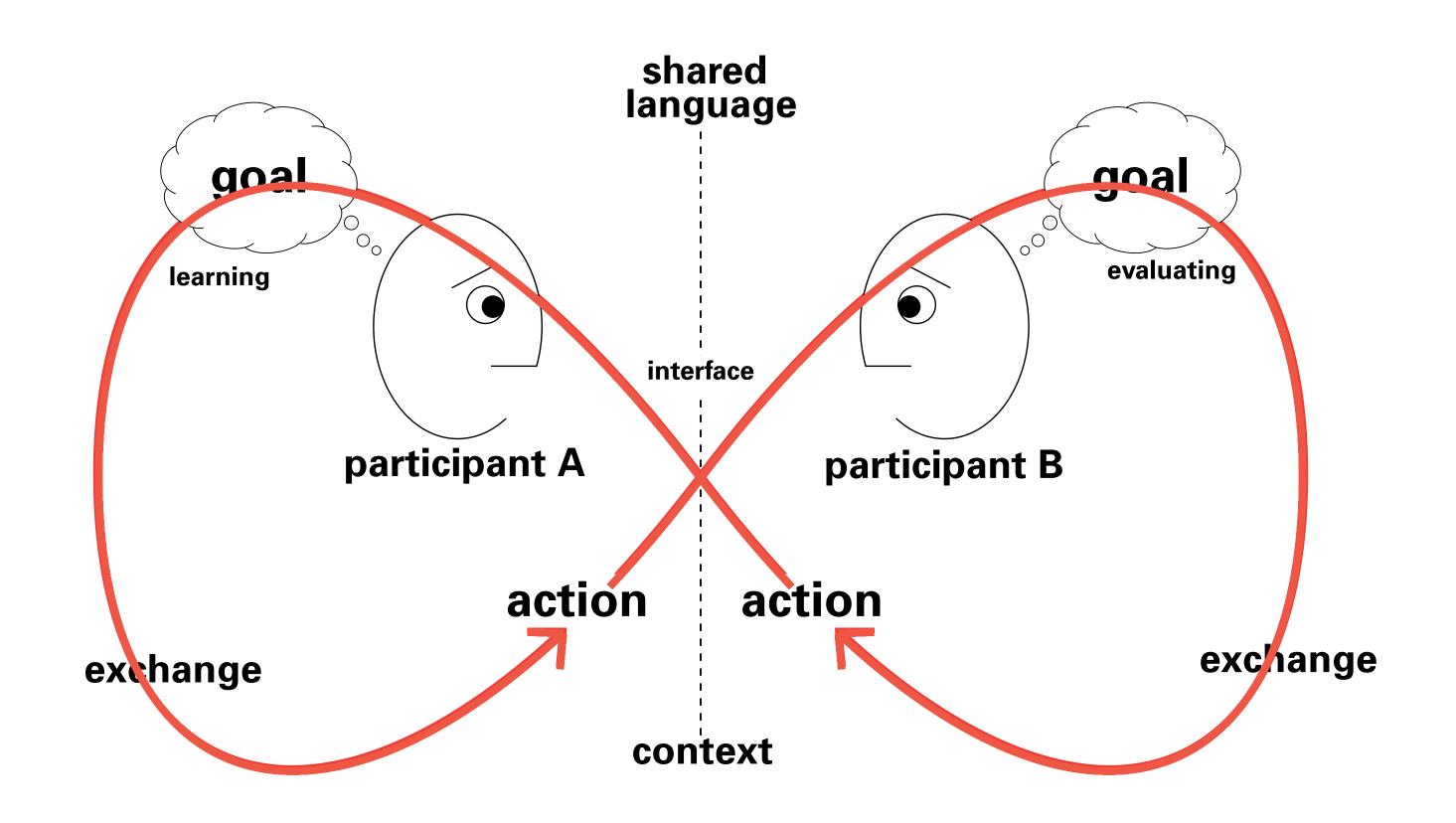
... and a reaction that evokes a reaction...



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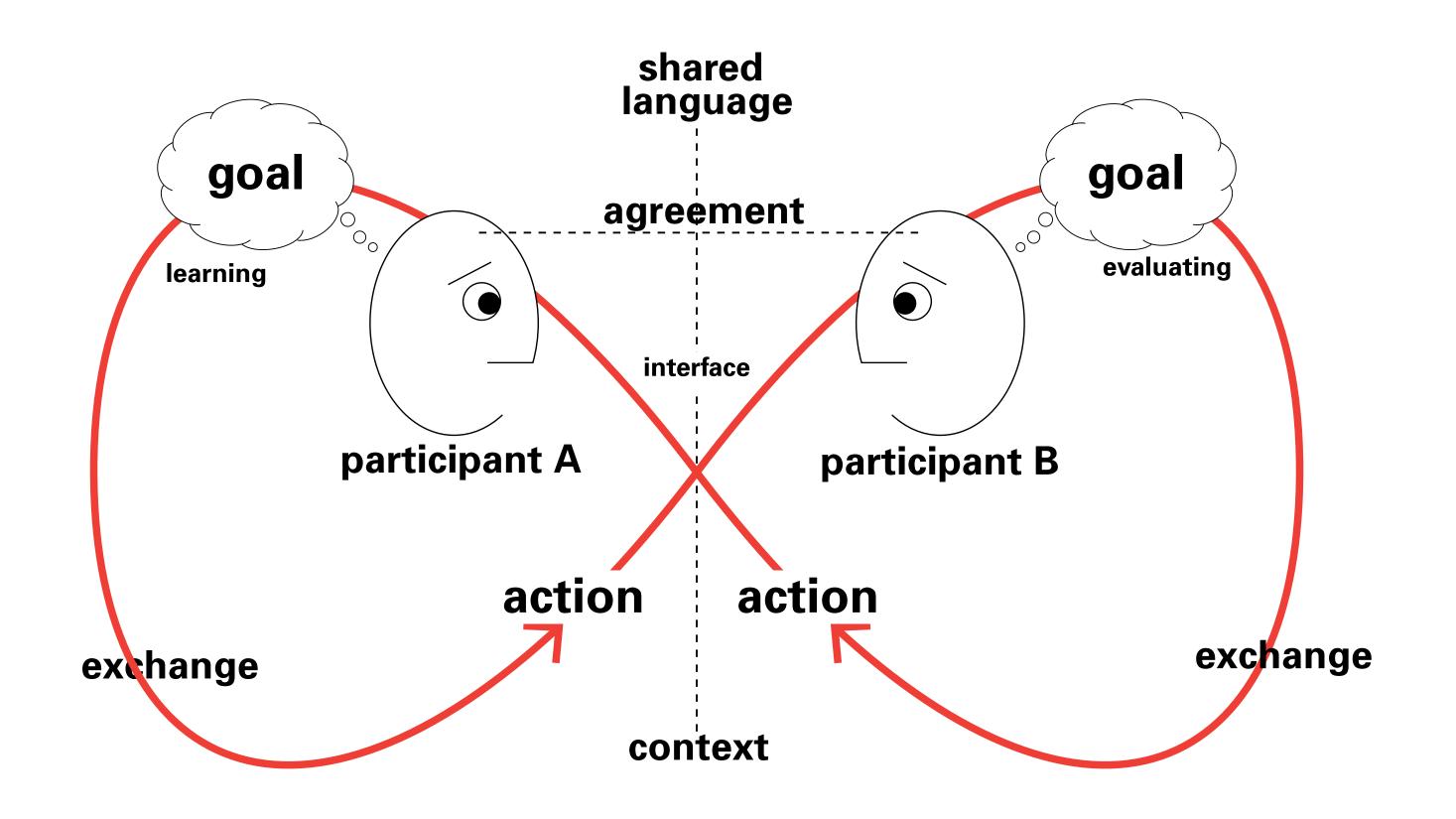
The engagement may continue.



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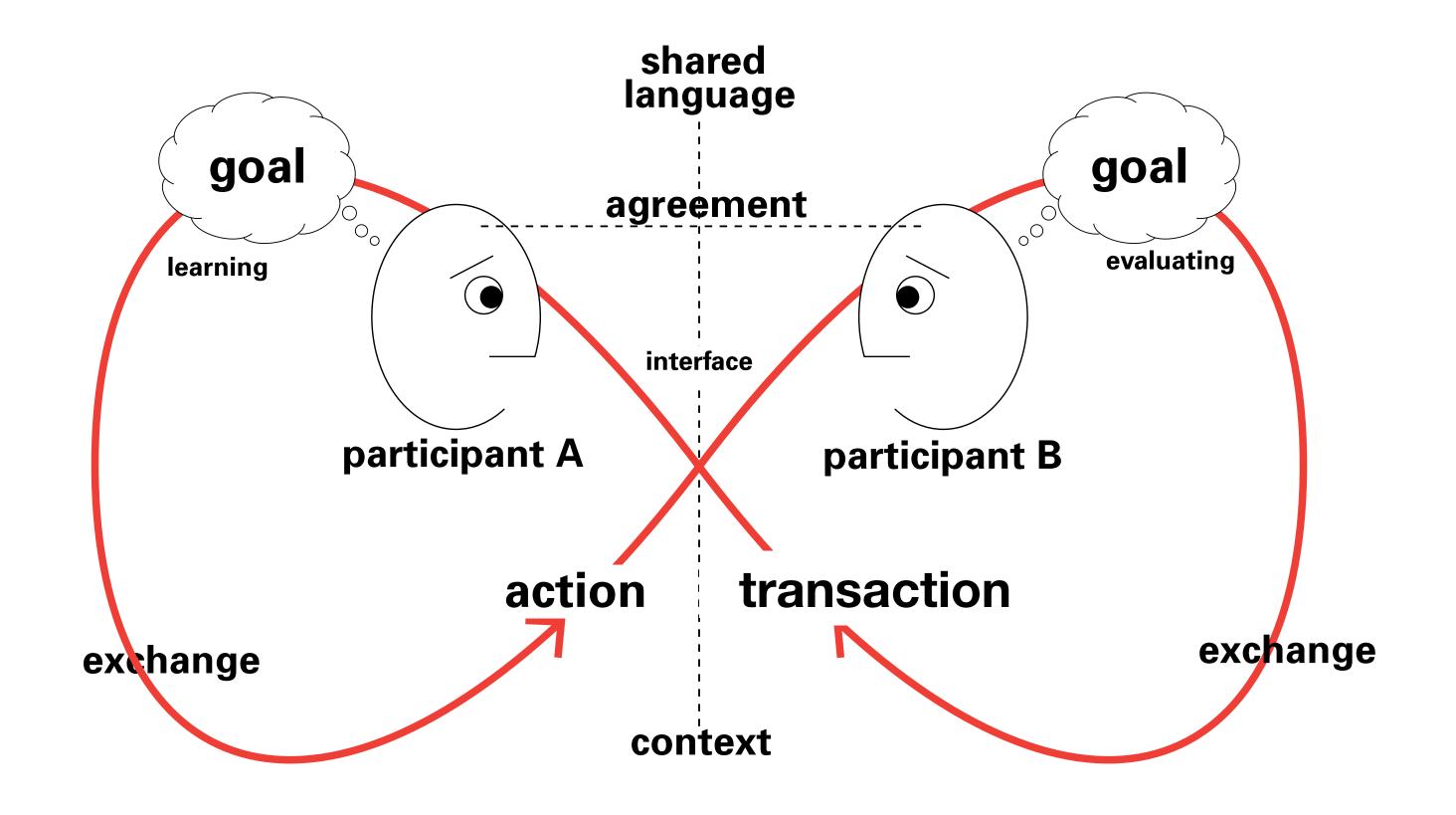
An agreement may be reached.



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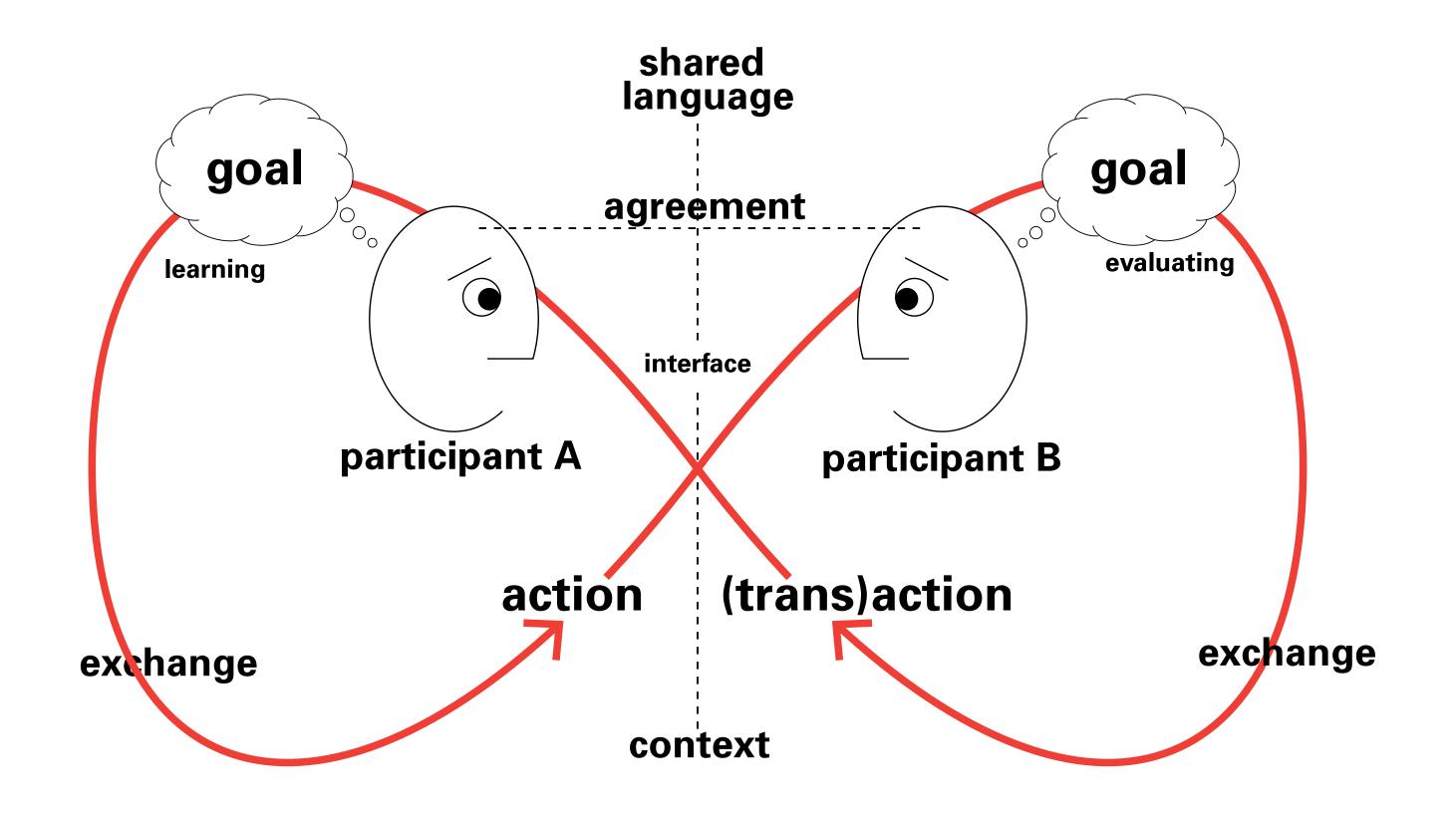
A transaction may occur.



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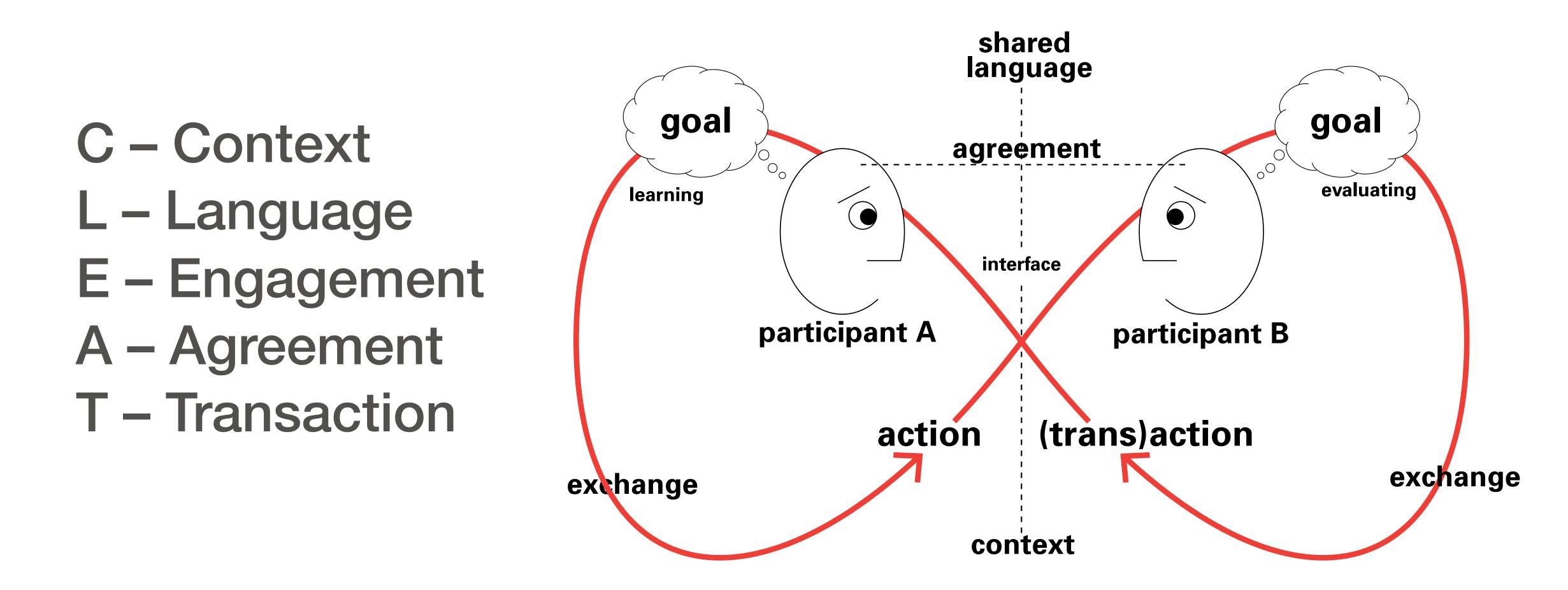
Conversation Model



See also Pangaro: Economy of Insight



Conversation Model — C-L-E-A-T



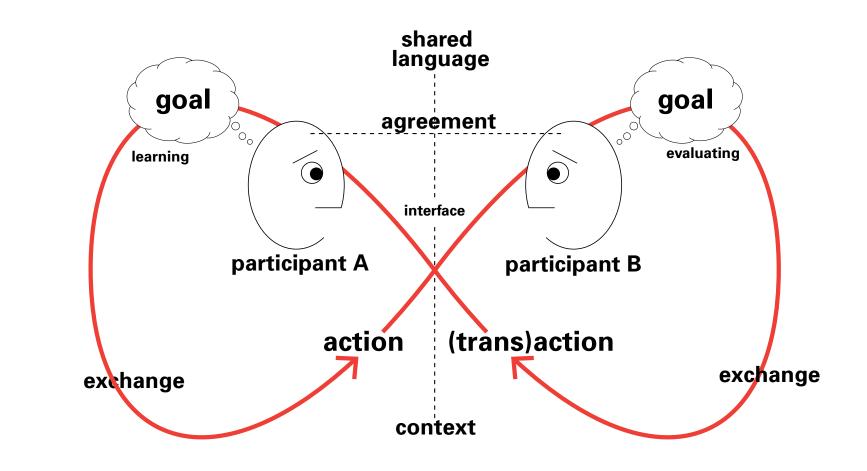


Conversation Model

Why does conversation matter?

- to act together, we must reach agreement
- to reach agreement, we must have an exchange
- to hold an exchange, we must have shared language.

To cooperate and collaborate requires conversation.



each agreement ust have an exchange nust have shared language.

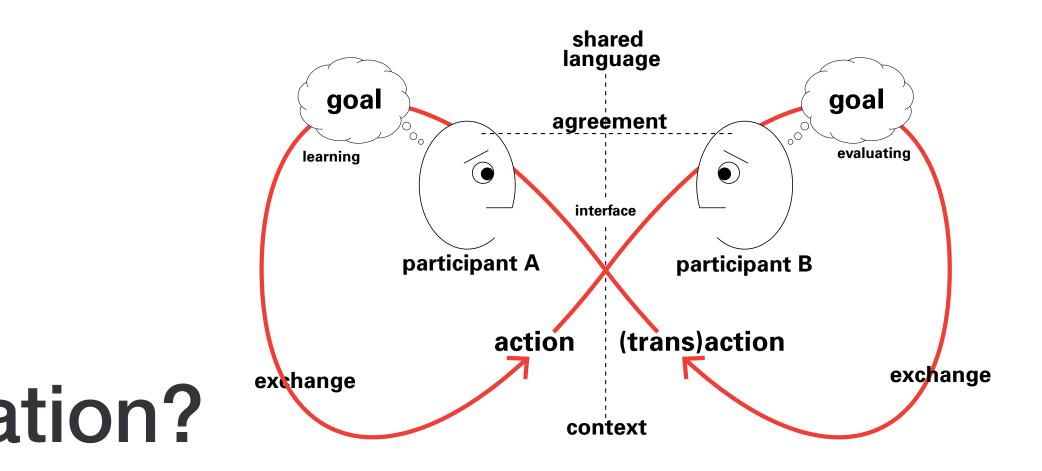


Conversation Model

What may follow from conversation?

- shared history
- relationship
- trust
- respect
- unity.

All these require conversation.





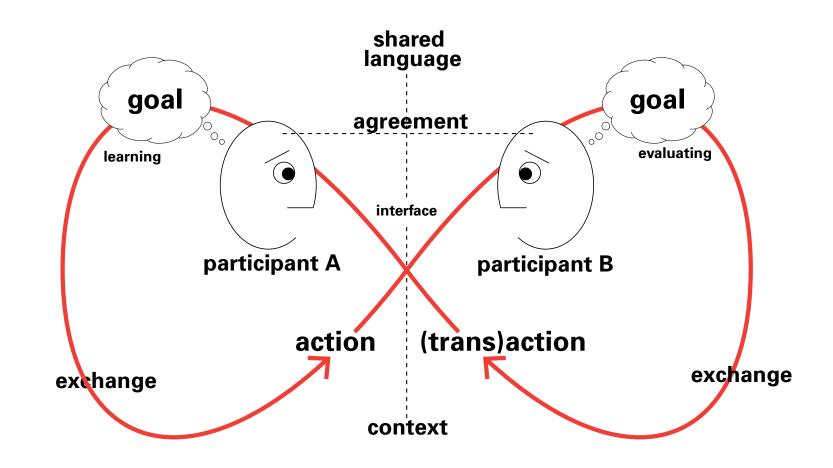


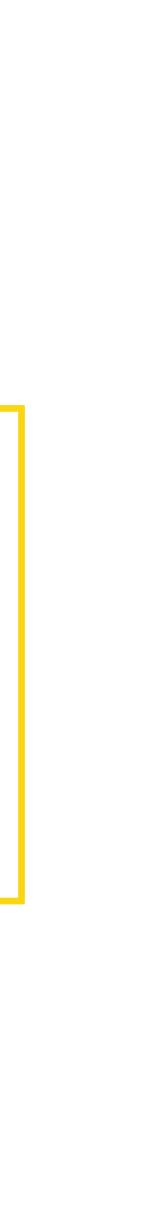
Conversation Model

What does conversation enable?

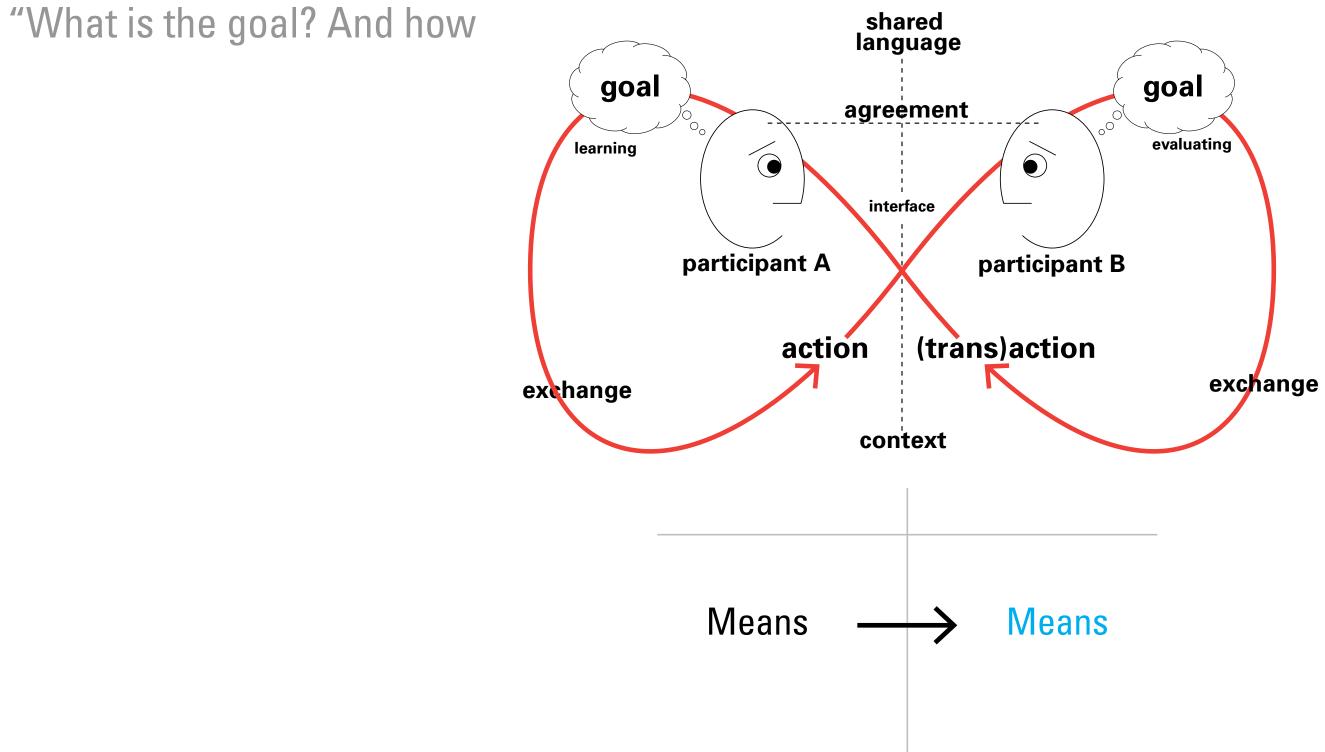
- community
- commerce
- culture
- government
- society.

All these demand conversation.





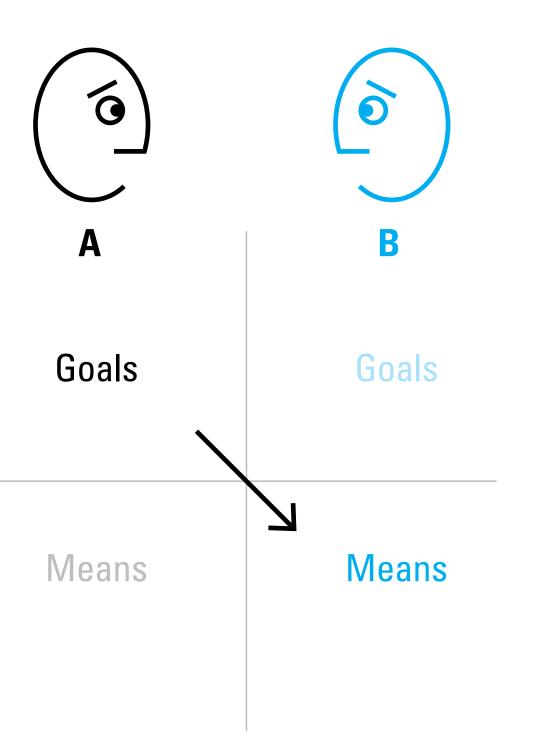
Architecture of Conversation A and B may talk about goals, means, or both





Controlling A tells B what to do and how to do it

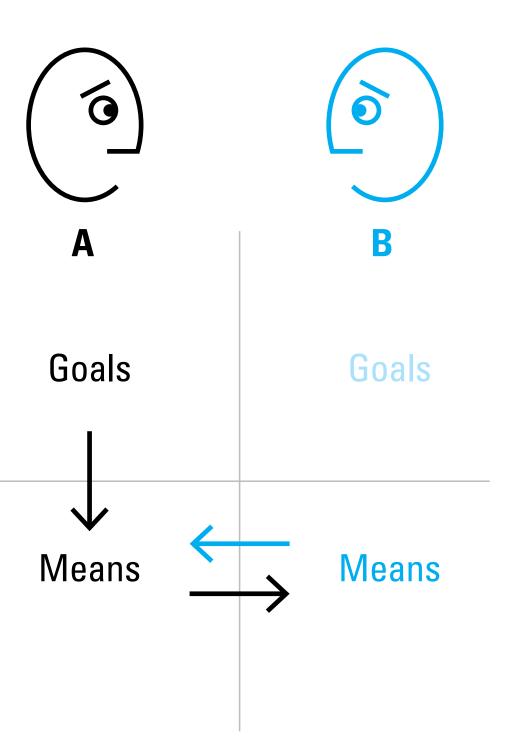
"Alexa, give me some news from NPR."—does this one





Guiding A sets goal but discusses means with B

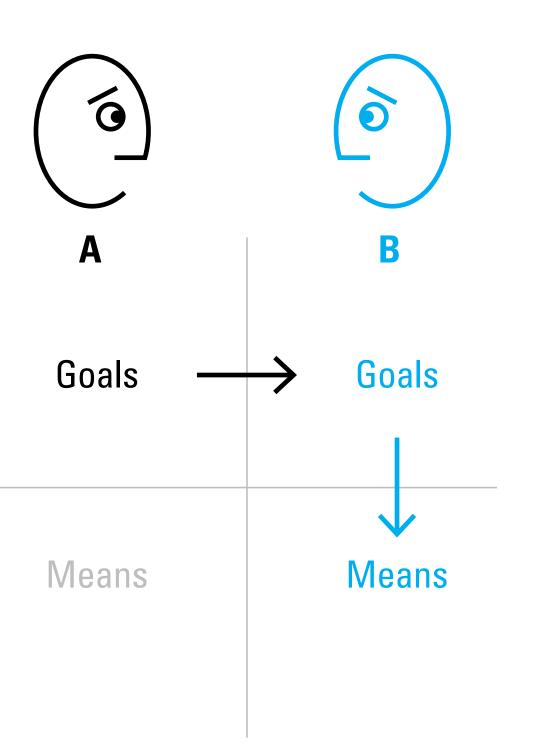
"Alexa, I want to listen to news, what are my options?"





Delegating A sets the goal but lets B decide the means to reach it

"Alexa, some news please."—does this one

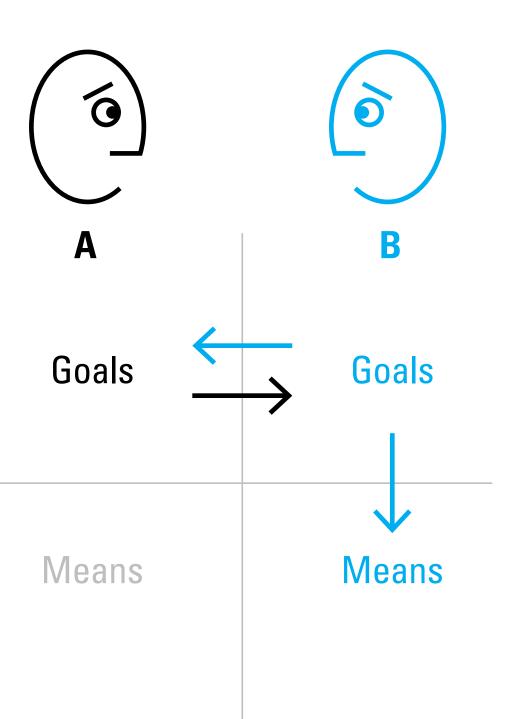




Collaborating A and B decide together on goals

"Alexa, how about I listen to something?"

— adopted from Hugh Dubberly after Paul Pangaro and Gordon Pask



Conversational Interfaces

Alexa, define a "good conversation"?

- stays sensitive to your context & language
- engages you keeps continuity in the exchange
- leads to agreements even agreements-to-disagree
- enables coordination acting together with others.

Alexa, why can't Al + today's "Conversation Interfaces" do these things?





Conversational Interfaces

Cortana, define a "great conversation"?

- tells you things you enjoy learning delights you
- is surprising energizes you
- goes places you didn't expect to go is generative
- evolves in ways you couldn't evolve on your own.

Cortana, why can't AI + today's "Conversation Interfaces" do these things?





Conversational Interfaces

Siri, what makes a "great conversational partner"?

- asks great questions
- offers different ways to achieve your goal
- collaborates with you to define new goals
- helps you to be what you want to be... or to become.

Siri, will Conversational Interfaces become great conversational partners?

hieve your goal efine new goals vant to be... or to become.





Design & Prototyping – Research Questions

- **#1. Question Engine Do users evolve better understanding?** #2. Universal Dialog UI – Do users increase their agency? **#3. Turning Test – Do users learn from the conversation?**





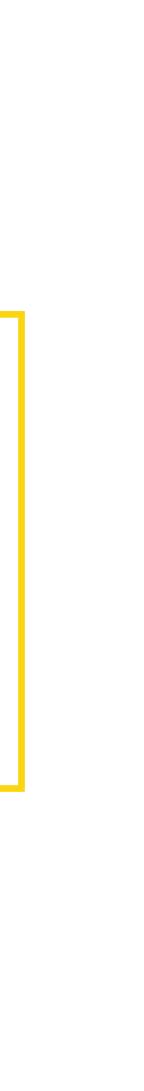
Ethical Intentions — Conversational Interfaces

Intention #1 — Build cooperative interfaces

Conversation is a cooperative interface when sequences of coherent interactions enable understanding and agreement are ongoing.

Intentions of Interactions for Conversation v3 – April 2019

- participants to evolve their points-of-view such that





Ethical Intentions — Conversational Interfaces

Intention #2 — Build ethical interfaces

Conversation is an ethical interface when there is

Intentions of Interactions for Conversation v3 – April 2019

- reliable transparency of action + intent (what + why), such that trust may build and be maintained over time.





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For more information about some of our most popular services, you can visit the Google Product Privacy Guide.

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Ethical Intentions — Conversational Interfaces

Intention #3 — Build humane interfaces

Conversation is a humane interface when any participant may influence its focus and flow such that collaboration is ongoing.

Intentions of Interactions for Conversation v3 – April 2019



The Design of Ethical Interfaces Ethical Intentions — Conversational Interfaces

- Cooperative → evolving points-of-view → agreement Ethical → reliable transparency of what + why → trust Humane → shared focus and flow → collaboration



The Design of Ethical Interfaces Designers, can we enable conversation for others? Can we design for conversation? Enable interactions that...

- are cooperative, humane, and ethical
- create conditions for great conversations
- increase the number of choices open to all
- help us to be what we want to be... or become.

and ethical conversations oices open to all at to be... or become.



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Ethical Interfaces — Axiom #1

the total number of choices for a user."

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"As a designer, I shall act always so as to increase

- Ethical Imperative, Interaction Designers



Ethical Interfaces — Axiom #2

Interaction designers have the responsibility to create conditions such that a user may converse with the interface.

Design for Conversation



Design for Conversation

Ethical Interfaces – Axiom #2

Interaction designers have the responsibility to create conditions such that a user may converse with the interface.





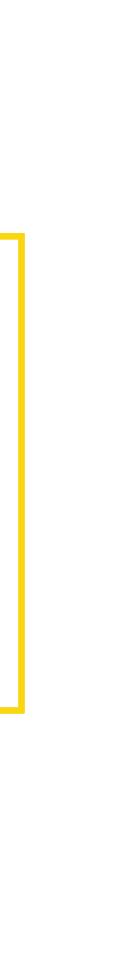
Design as Conversation

Ethical Interfaces — Axiom #3 — "Second-order Design"

The goal of second-order design is to facilitate

— Dubberly & Pangaro, "Cybernetics and Design: Conversations for Action", 2019

the emergence of conditions in which others can design — to create conditions in which conversations can emerge — and thus to increase the number of choices open to all.





Organizing Principle

"I shall act always so as to increase the total number of choices."

Click for PDF of "Ethics and Second-Order Cybernetics", 1991

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- Ethical Imperative, Heinz von Foerster



On "Metadesign" – Humberto Maturana

We are responsible for the language we bring forth, for the emotions we embody in our language and our actions, and for the technology they both bring to the world.

That is, we are responsible for what we conserve in our living day-to-day.

That is an ethical choice at every minute of our lives.

Click for PDF of "Metadesign" by Humberto Maturana

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- Hugh Dubberly after H. Maturana



Thank you.

Special Thanks to:

Brad Myers Jodi Forlizzi John Cain Karen Kornblum Berntsen Hugh Dubberly Pooja Upadhyay

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Appendices

Design and Cybernetics

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"If you desire to see, learn how to act."

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- Aesthetic Imperative, Heinz von Foerster



We believe cybernetics offers a foundation for 21st-century design practice, with this rationale:

— Dubberly & Pangaro, "Cybernetics and Design: Conversations for Action", 2019



If design, then systems:

- The prominence of digital technology in daily life cannot be denied (or reversed). Digital technology comprises systems of systems (Internet of Things).
- Design has expanded from giving-form to creating systems that support interactions. Human interactions span thinking and acting, whether mundane or metaphysical. We must model and tame this complex mesh of mechanisms. Therefore: systems literacy is a necessary foundation for design.



If design, then systems.

If systems, then cybernetics:

- Digital interactions comprise reliable connections, communication, and feedback. Human interactions comprise purpose, feedback, and learning.
- The science of communication and feedback, interaction and purpose, is cybernetics. We must model communication and intention in a common frame. Therefore: cybernetics is a necessary foundation for design.





If design, then systems.

If systems, then cybernetics.

If cybernetics, then second-order cybernetics:

- Values and viewpoints are subjective.
- Designers must offer a persuasive rationale for our subjective viewpoints.
- Modeling subjectivity is the province of second-order cybernetics. We must embrace values and subjectivity at the heart of designing. Therefore: second-order cybernetics is a necessary foundation for design.



Framing "wicked challenges" requires articulating human values and viewpoints.



If design, then systems. If systems, then cybernetics.

If cybernetics, then second-order cybernetics.

If second-order cybernetics, then conversation:

- Taming "wicked challenges" must be grounded in argumentation.
- Argumentation requires conversation so that participants may understand and agree.
- Agreement is necessary for collaboration and effective action. We must embrace argumentation and collaboration to the heart of 21st-century design. Therefore: conversation is a necessary foundation for design.





If design, then systems. If systems, then cybernetics. If cybernetics, then second-order cybernetics. If second-order cybernetics, then conversation.

— Dubberly & Pangaro, "Cybernetics and Design: Conversations for Action", 2019





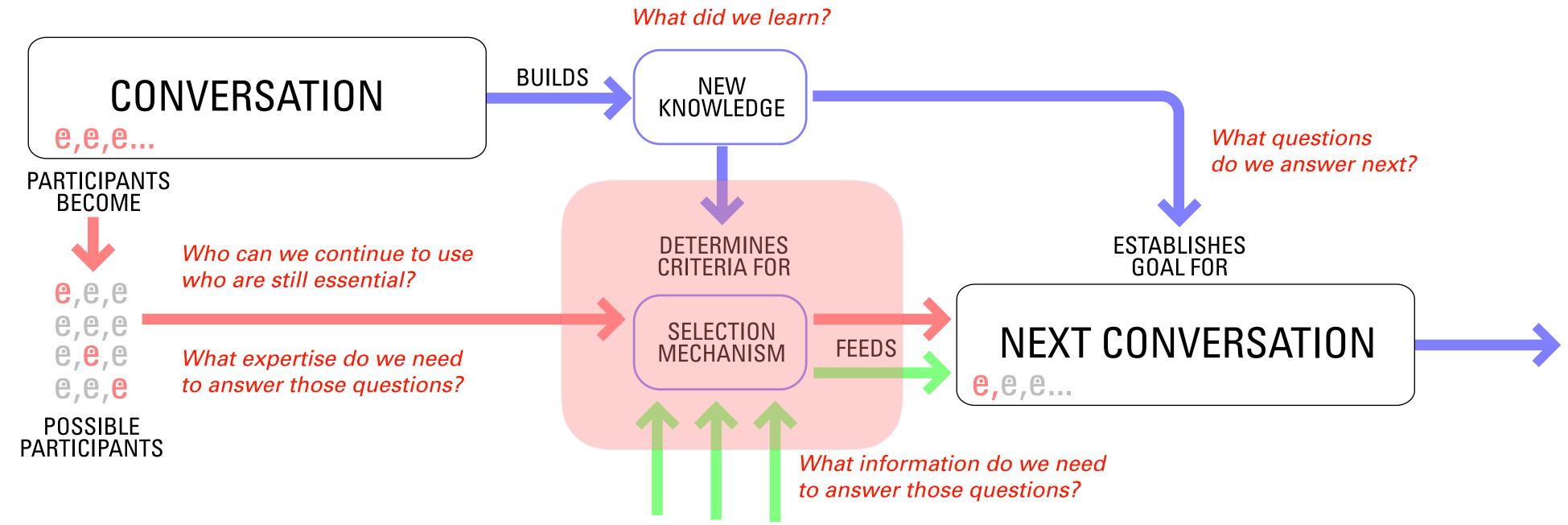
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Design as Conversation

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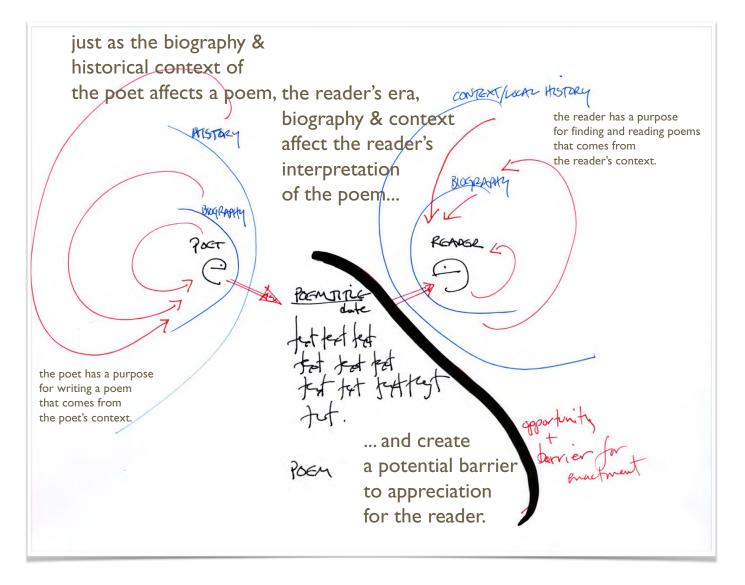
Designing Engagement / Cadence of Conversations



Paul Pangaro Modeling Engagement Project Ogilvy & Mather, New York 2007 Click for PDF



Designing Engagement / Poetry Machine



Paul Pangaro **Poetry Machine Project** PoetryMagazine.org, Chicago 2008

poetrymachine's storehouse of enactments creates a dynamic software interface.

Locar Histor ENACTMENT if poetymachine knows a little LAYER about a reader's context and biography level of experience with poetry, REDENTOR BLOGRAPHY purpose in seeking poetry, or prior poems read, for example it can create a personalized READGR TYMOLOGY enactment layer by RAT choosing specific elements POEN TITLE dute of enactment to present to that specific reader. fait fait the enactment layer > INTERPRETATION enables a dialog > INTERPRETATIO that connects poem & reader, poet & reader, reader & self.





Designing Engagement / Conversations for Organizational Change

8

Notes on the Role of Leadership and Language in Regenerating Organizations

Hugh Dubberly, Peter Esmonde, Michael C. Geoghegan, Paul Pangaro Sun Microsystems 2002

BASED ON CONVERSATIONS WITH DR. MICHAEL GEOGHEGAN

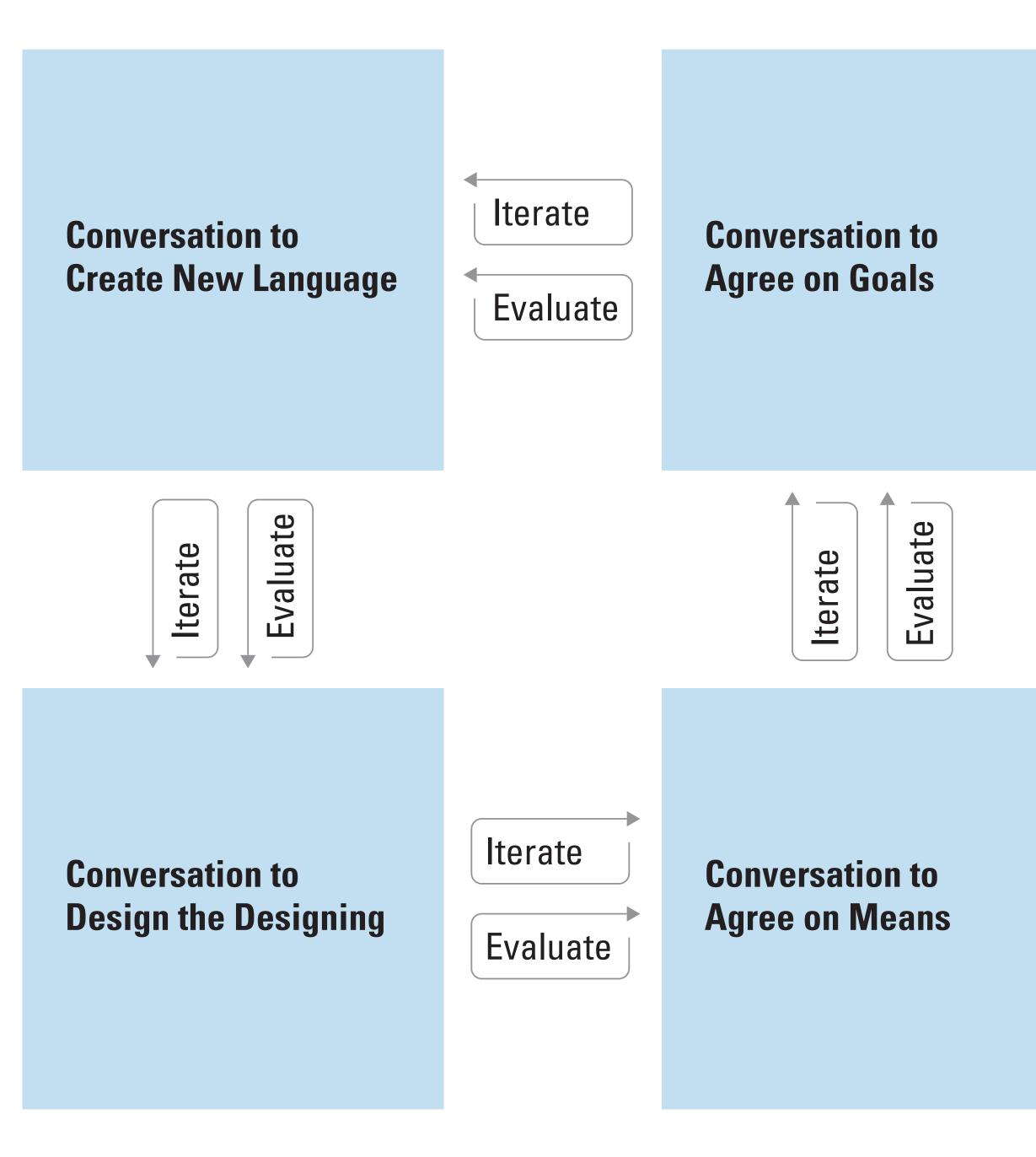
An organization is its **language.**

To regenerate, an organization creates a new **language**.



Design as Conversation / Conversations for Design

Paul Pangaro "Designing Our World: Cybernetics as Conversation for Action" Heinz von Foerster Lecture, University of Vienna 2017 Click for PDF





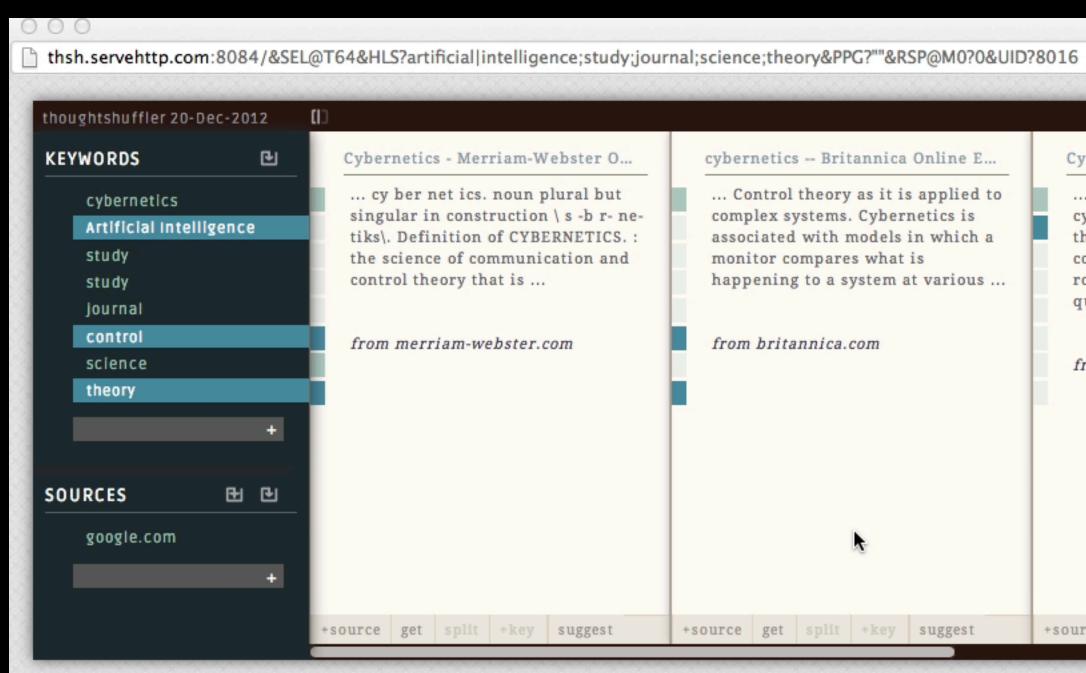
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Appendices

Design for Conversation

Paul Pangaro pangaro.com/hciiseminar2019/ ppangaro@cmu.edu





ThoughtShuffler UI design and coding by Jeremy Scott Diamond UX & heuristics by Paul Pangaro 2012

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Cybernetics - A Definition Artificial Intelligence and cybernetics: Aren't they the same thing? Or, isn't one about computers and the other about robots? The answer to these questions is	Cybernetics and Systems Theory The following links provide general background information on the field of Cybernetics and Systems Theory, an interdisciplinary academic domain. 	cybernetics - definition of cybern cy ber net ics (s b r-n t ks). n. (used with a sing. verb). The theoretical study of communication and control processes in biological, mechanical, and electronic	What are Cybe Cybernetics (also: "(Genera "Systems Rese somewhat fuzz domain, that .
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76

thoughtshuffler v3 iOS UX by Miriam Simun UI by See-ming Lee concept & heuristics by Paul Pangaro 2013 national geographic, fracking, Hydraulic fracturing, water, oil, sand



March 2013 National Geographic Cover Story: "America Strikes Oil...

nysfrackingunplugged.wordpress.com

In his article entitled "America Strikes Oil: The Promise and Risk of Fracking," Edwin Dobb, a Berkeley Graduate School of Journalism lecturer and National Geographic contributing writer, focuses fracking activities in North Dakota.

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Streamfully mobile UI design & coding by John Katagawa **UX & heuristics by Paul Pangaro** 2014

Streamfully

qz.com Starbucks is finally going to show US coffee drinkers what a "flat white" is. Prepare for controversy - Quartz

1/1/2015, 7:00:26 AM

Starbucks is introducing the "flat white" to its coffee menus across the US on Jan. 6, reports Eater. It's a little surprising it took this long; the drink has been available for years in the UK and Australia, which both consume far less coffee per capita than the US. (It's also a popular drink with New Zealanders, whose coffee consumption is on par with that of Americans.)

But good coffee is more about quality than quantity, is it not? Though the US is the birthplace of Starbucks, the most

thoughtstacks.com/m/#h



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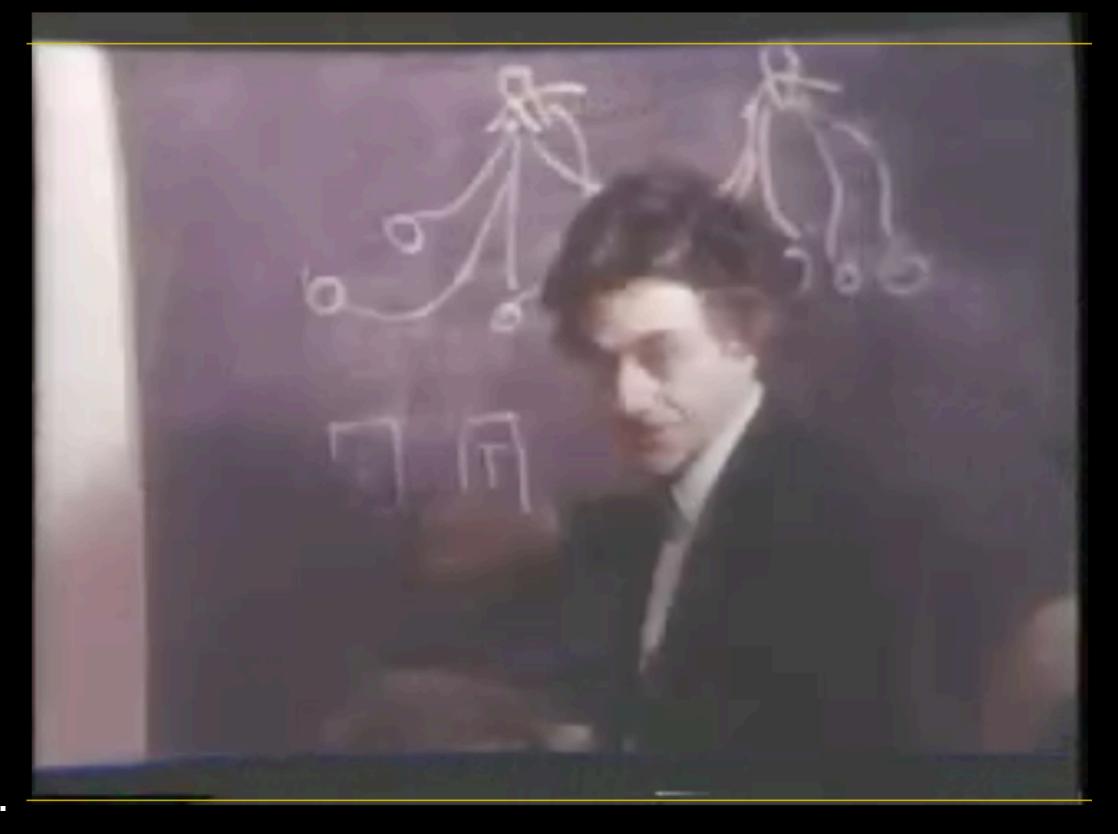


Appendices

Gordon Pask and Conversation

Paul Pangaro pangaro.com/hciiseminar2019/ ppangaro@cmu.edu





In 1975 Pask was the subject of an entire episode of the series The Design Prototypeers by the BBC.

Click for video

GORDON/PASK

CONVERSATION, COGNITION AND LEARNING

A CYBERNETIC THEORY-AND METHODOLOGY



1975

GORDON PASK

CONVERSATION THEORY

APPLICATIONS IN EDUCATION AND EPISTEMOLOGY

ELSEVIER



• Christopher Alexander

Architectural Intelligence

• Cedric Price

Nicholas Negrop

Soft

Soft Architecture

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How Designers and Architects

Created the Digital Landscape

Molly Wright Steenson

Richard Saul Wurma

Architecture Intelligence Molly Wright Steenson, MIT Press, 2017

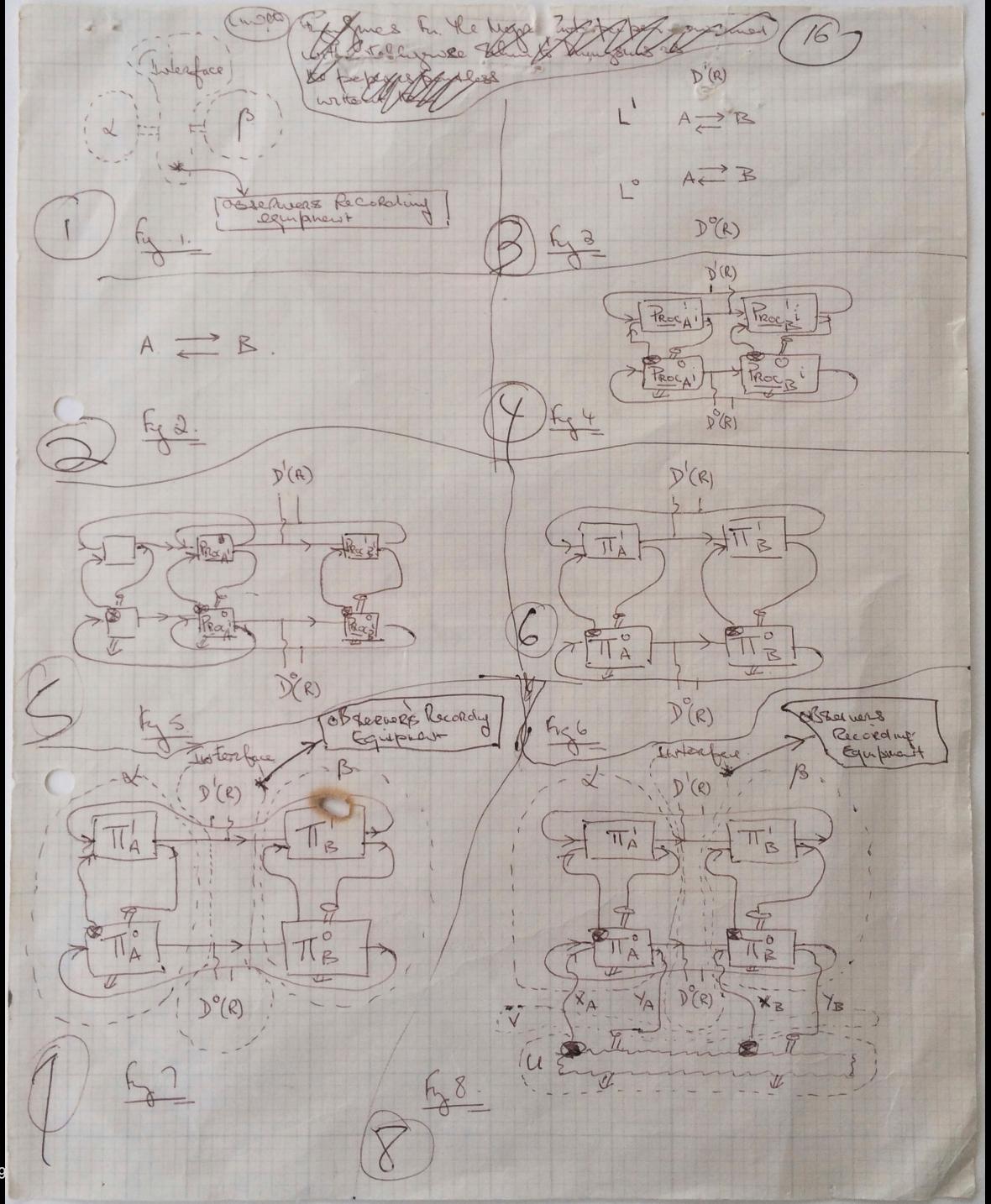
Soft Architecture Machines Nicholas Negroponte, ed., MIT Press, 1976

Click for PDF

Book Design: Muriel Cooper





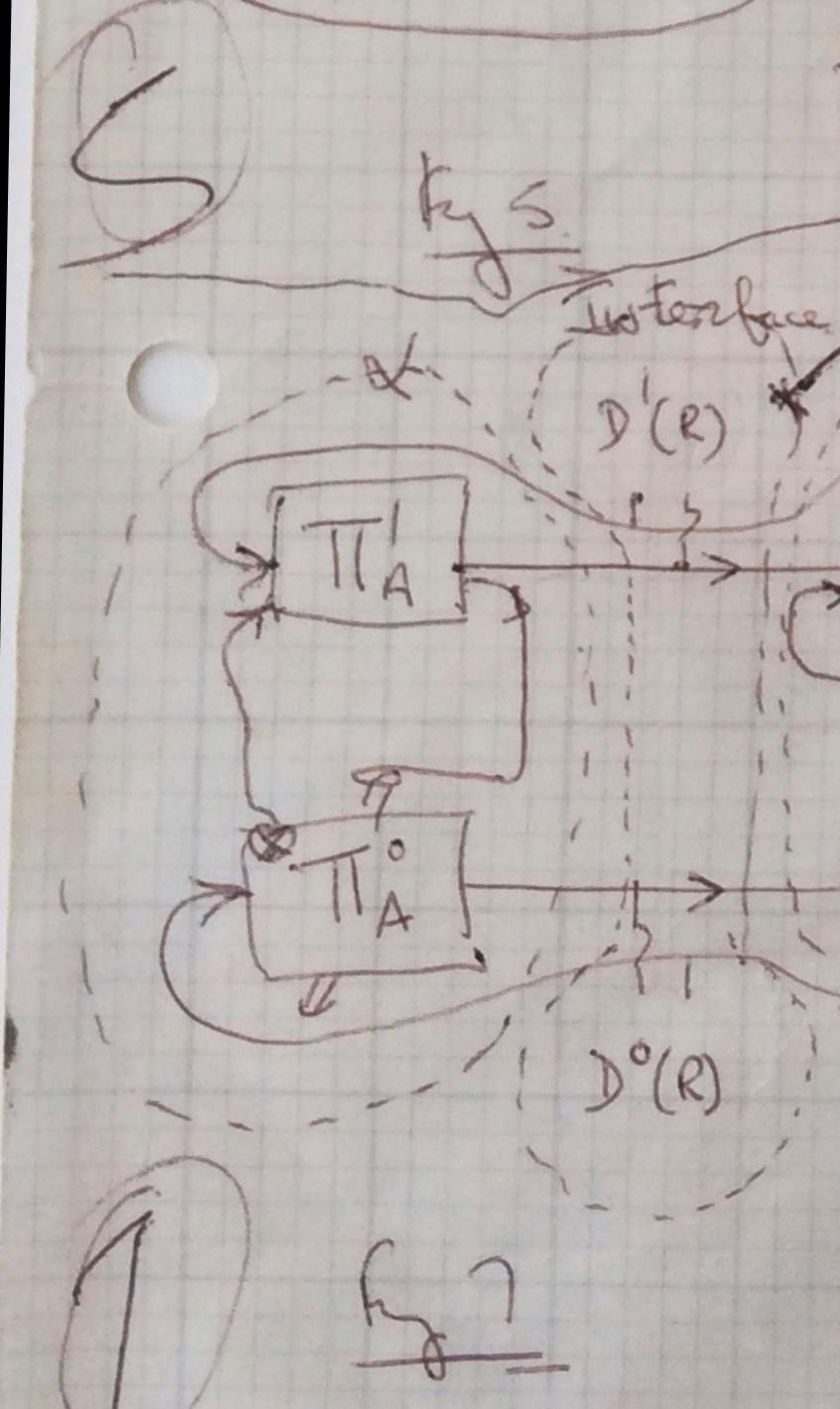


Pask's hand-drawn models of interaction are playful in spirit and rigorously complete.

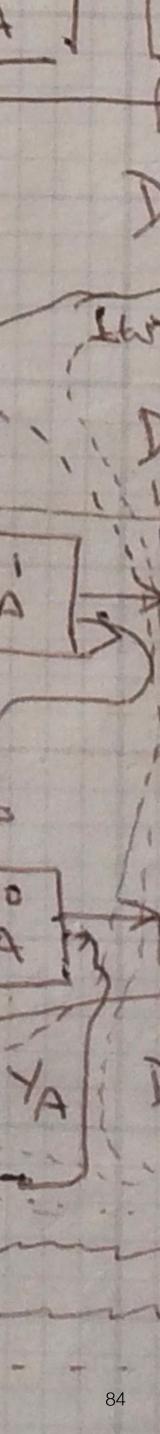
They capture all types of interactions between participants in a conversation.







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Aspects of Machine Intelligence

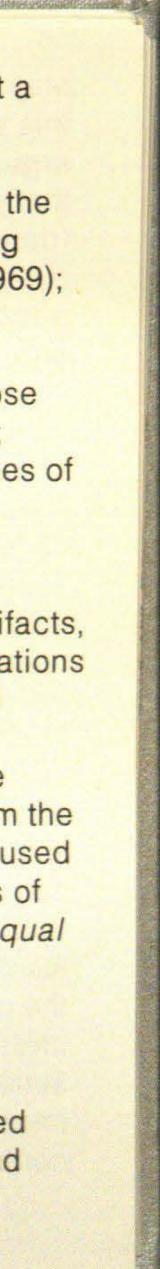
Introduction by Gordon Pask

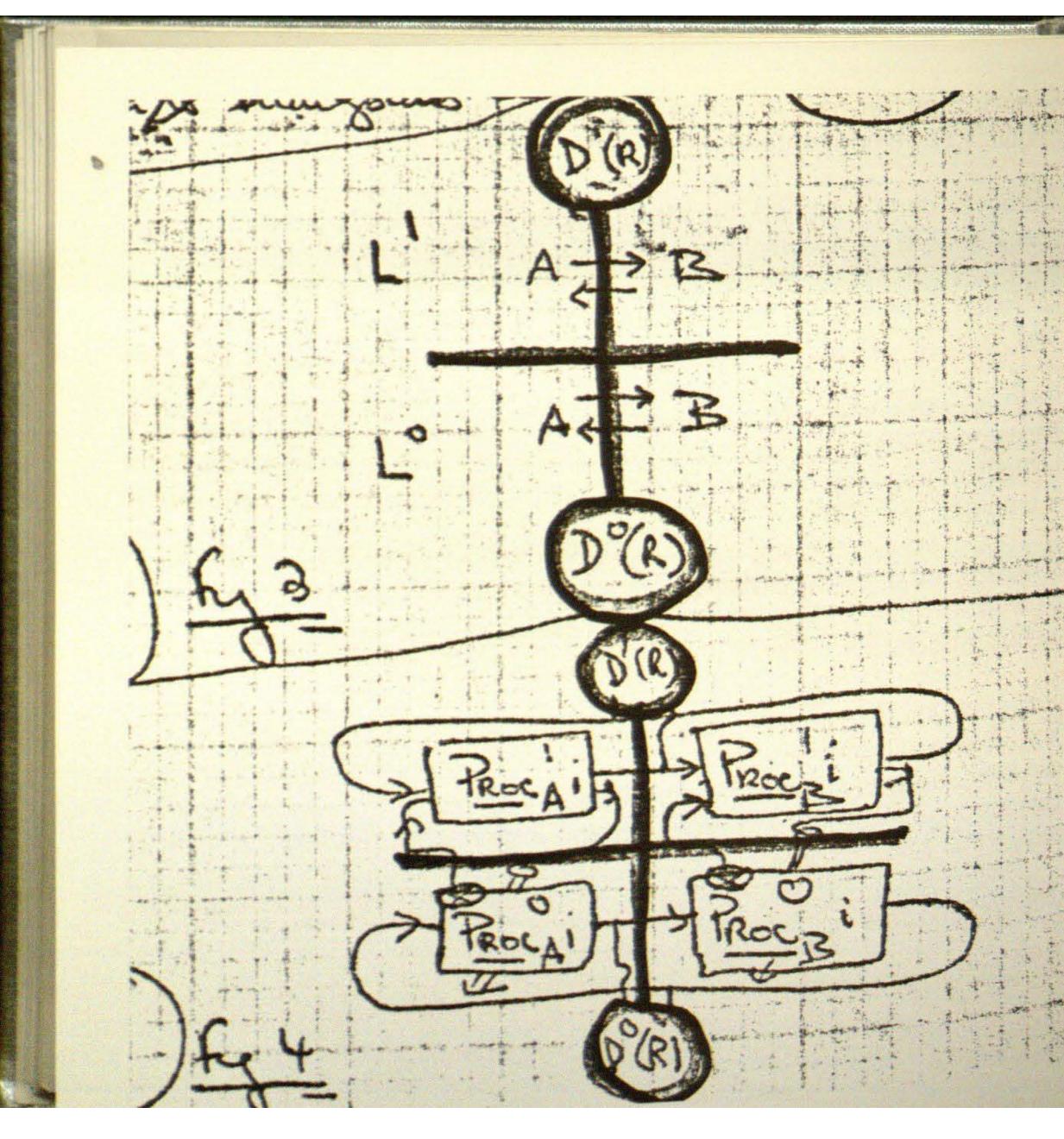
The current status of mindlike computer programs is summarized, at a philosophical rather than technical level, in the following short but authoritative papers: Minsky (1968), Simon (1966), Turing (1969). Whoever wishes to delve into this subject in greater depth may read the books where these papers are published in their entirety, augmenting them, to obtain comprehensive background, by Ernst and Newell (1969); Ashby (1960); Cohen (1966); Fogel, Owens, and Walsh (1966); Von Foerster and Zopf (1962); Uttley (1959); Von Foerster et al. (1968); McCulloch (1965); Oestreicher and Moore (1968); Amarel (1969); Rose (1970); Minsky and Papert (1969); Feigenbaum and Feldman (1963); Banerji (1969); and Garvin (1970). It is also worth perusing all volumes of the journal *Artificial Intelligence*.

Henceforward, it is assumed either that the reader knows the *kind* of symbolic operations performed by computer programs and other artifacts, that he will study the matter at leisure, or that he will take these operations for granted. With this supposition in mind I shall give a personal and possibly idiosyncratic view of the conditions under which *artificially intelligent* is a properly used term and offer an interpretation of these conditions with respect to *use* of the *architecture machine*. Apart from the pictograms or ikons developed in the text, the only special symbols used are the special brackets < and > which enclose *ordered* collections of objects; the equality sign =; and \triangleq , which is read as " *defined as equal to*."

Overview

The contention is as follows: Intelligence is a property that is ascribed by an external observer to a conversation between participants if, and





7.2. ♀ means "operates upon according to a hypothesis," and ⊗ means "gives a description (in the language appropriate to the level where the line terminates), which may or may not confirm the hypothesis."

7.3. Thus a complete circuit on one side of I, starting at \otimes , passing through — to a *Proc*, and returning by way of — and \Im on the original *Proc* is a *causal* coupling, or, equivalently, it permits *reproduction* of the original *Proc*.

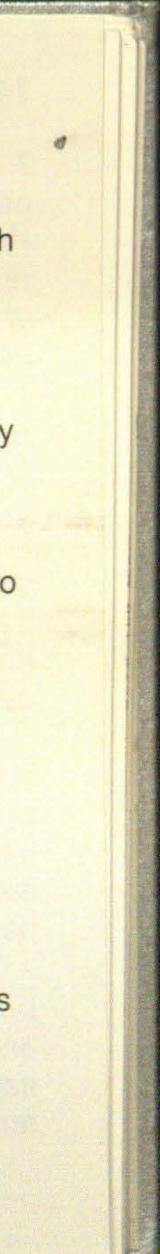
7.4. The unadorned, horizontal connections have a different meaning: they are *inferential* couplings, which, limiting cases apart, entail the notion of choice.

7.5. Hence, any complete circle (such as the line emanating from $Proc_{A}$ i to $Proc_{B}$ i and terminating on $Proc_{A}$ i) may be called a deductive chain.⁵

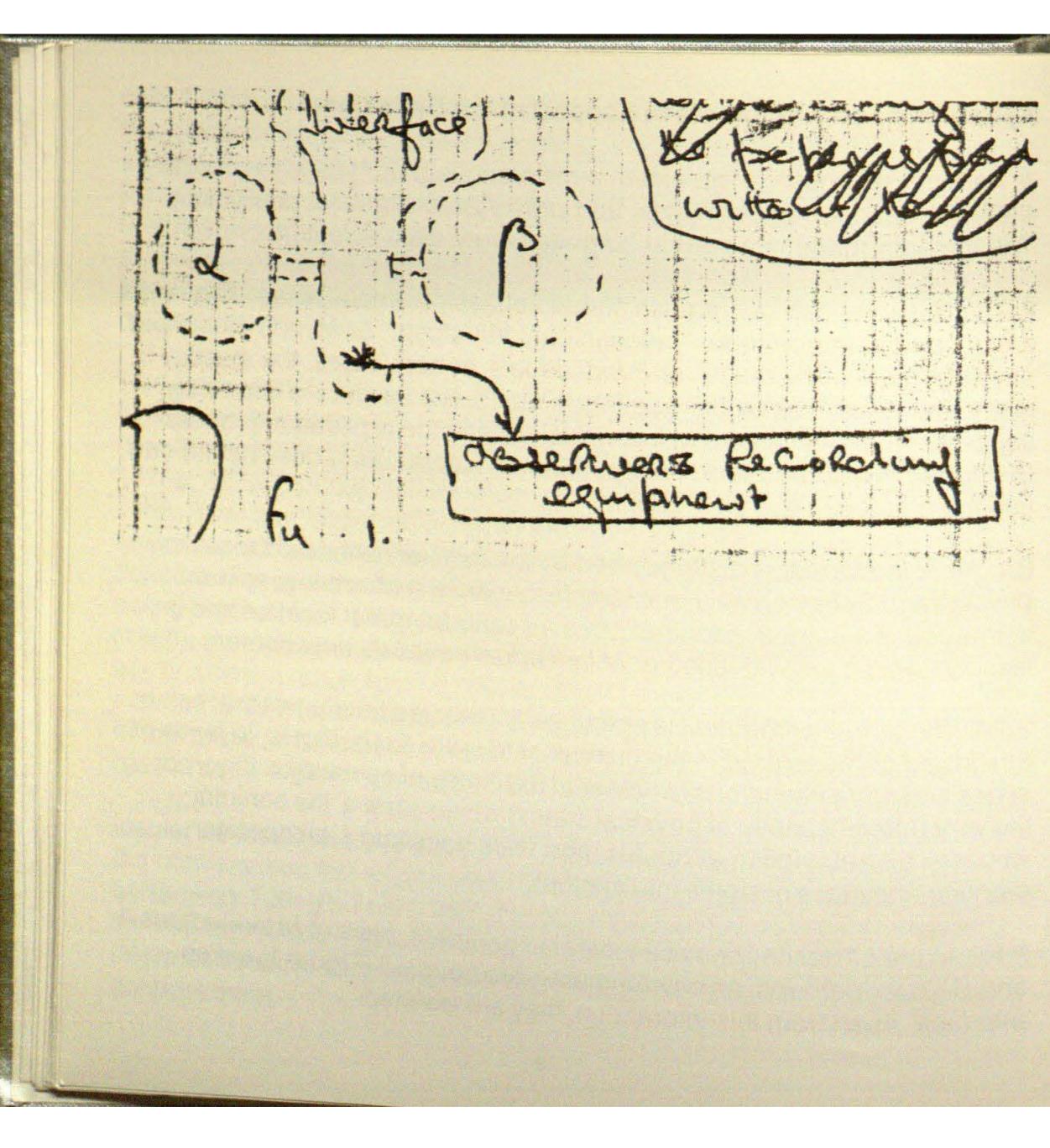
7.6. Finally, the lines to and from D'(R) and $D^{\circ}(R)$ indicate whatever is referenced by the inference, that is, whatever R in R is ostended by the participants A and B on occasion n.

7.7. Call this ikon (Figure 4) the conversational paradigm.

7.8. If one ikon is created by filling the spaces in Figure 3, then (obeying the proper rules) the process can be iterated laterally to yield a further *paradigm*, for example, the ikon in Figure 5. The motivation for doing so is noted in Section 2.1.1 \triangleq to represent as much of mind as desired.







2.1.3. It is crucial to the argument that *all* observations occur at such a spatio-temporally localized interface; the observer's measuring and recording equipment is, in the last resort, bound to it. But the interface is neutral regarding the type of interaction, if any, that takes place across it.

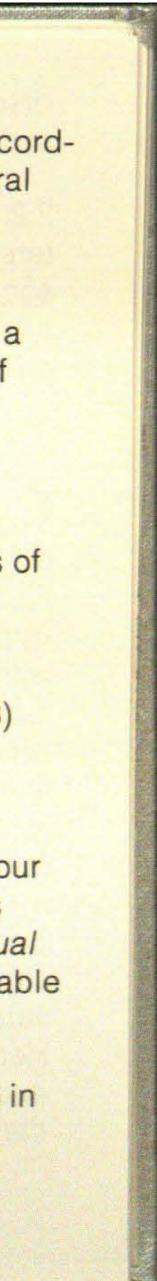
In Figure 1, which introduces the notation for distinguishing *M Individuals*, α may be a user of the architecture machine regarded as a biological unit and β the architecture machine regarded as a chunk of metal and semiconductor material. But α may also be a rat and β its experimental environment.

2.2. A *P* Individual is distinguished as a self-replicating and (usually) evolving organization. It is respectably and precisely defined in terms of an object language *L* and a relational domain *R* described in *L* by a description D(R) with respect to which it *is* self-replicating. Here, self-replication is intended in the abstract sense of the theory of reproductive automata, as originally conceived by von Neumann (1968) and as recently developed by Loefgren (1972).

2.2.1. Though, in general, the domain may be allowed to grow systematically under the control of the given *P* Individual, we confine our attention to cases in which *R* is fixed. Under these circumstances, it is possible to specify domains with the property that if a given *P* Individual is viable (that is, is able to reproduce) on occasion *n*, then it is also viable at any later occasion n + r (*r* finite) for R_i in R_i^2

2.2.2. It is assumed that a *P* Individual is active or that any conversation in which it is a participant does in fect proceed, that is, for each occasion, some topic relation *R* (a part of *R* or all of it) is actually ostended for

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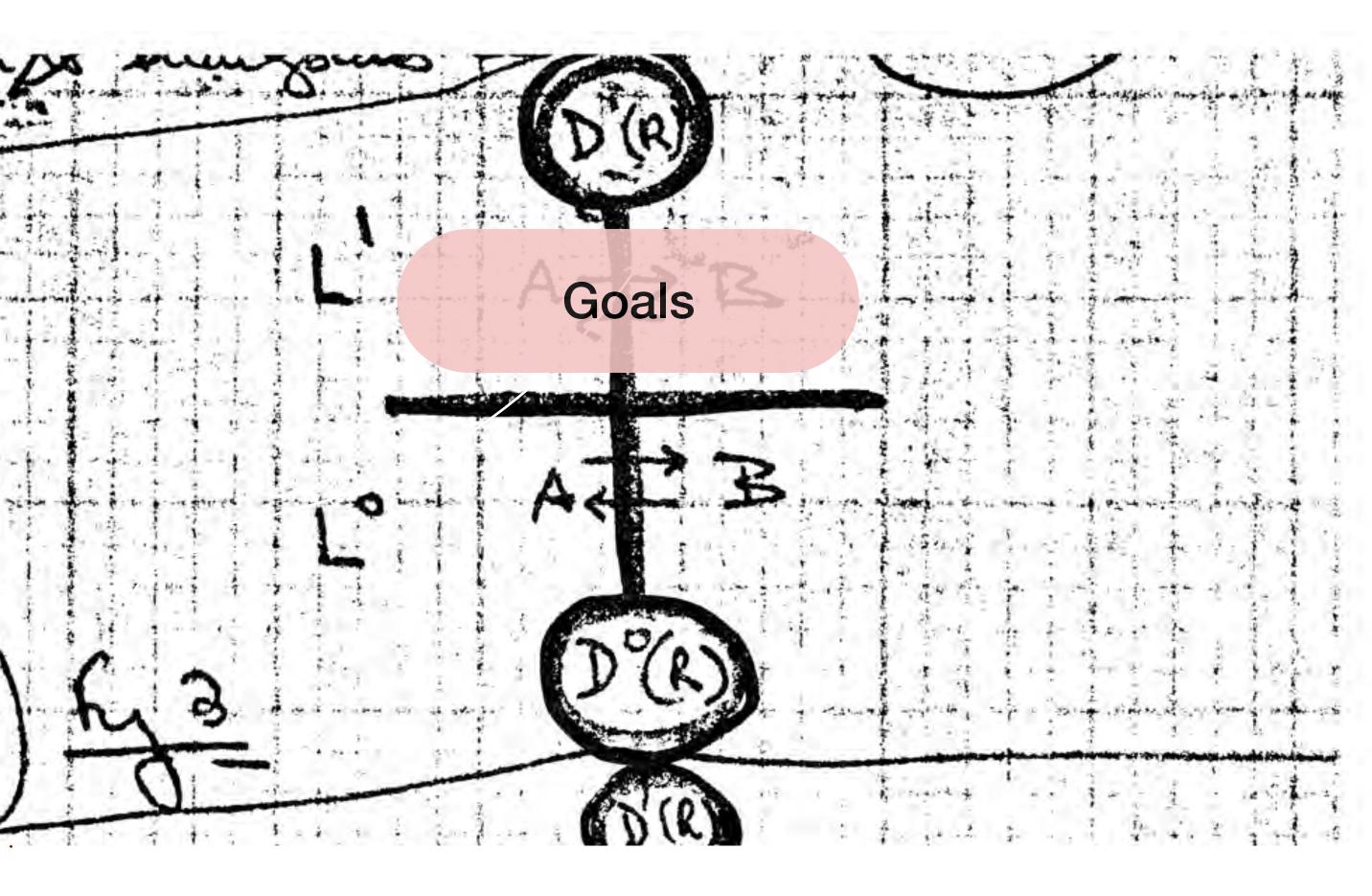
Interactions occur through an interface.

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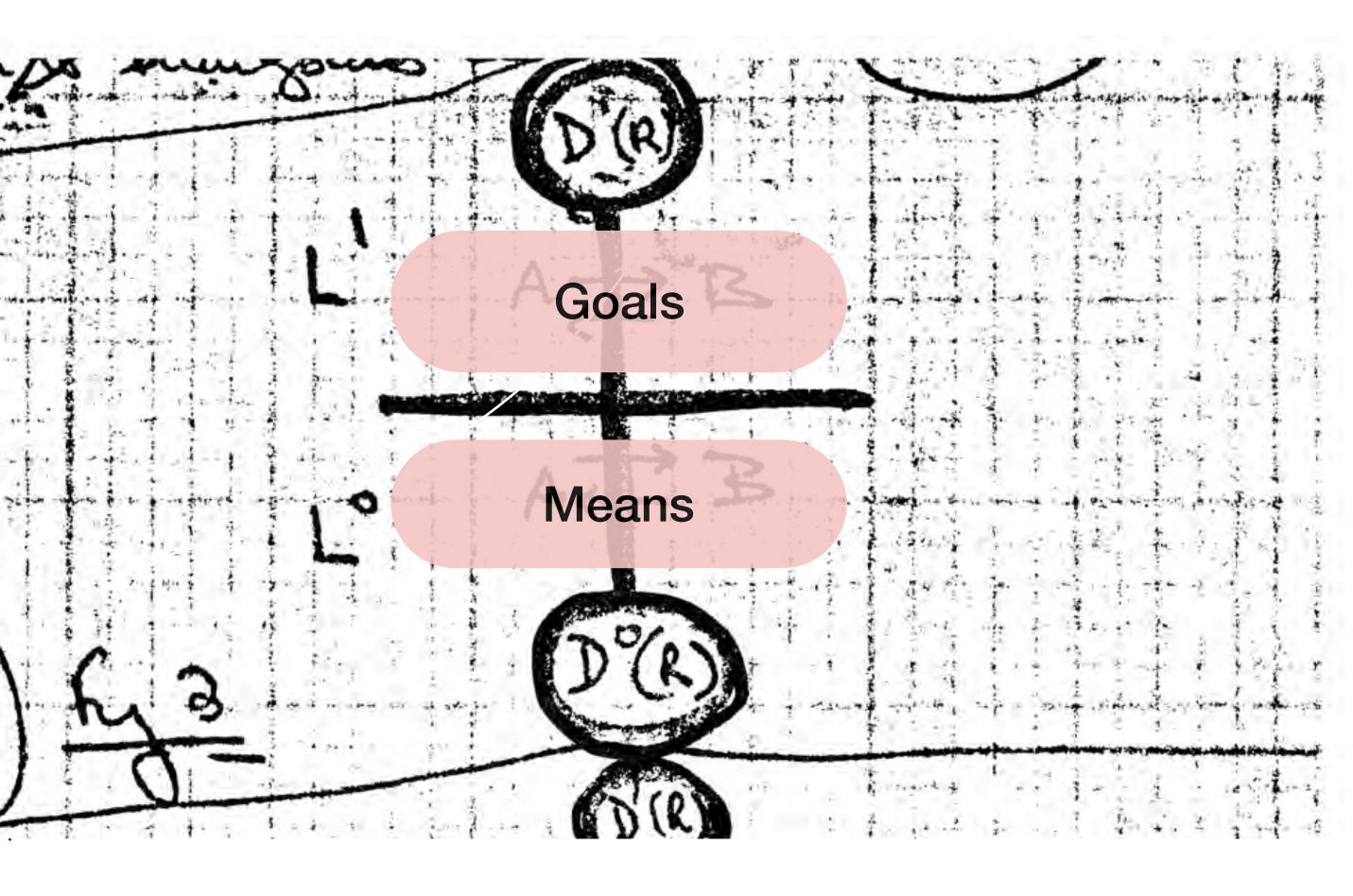




Interactions in a conversation can be observed to have levels of *goals* –

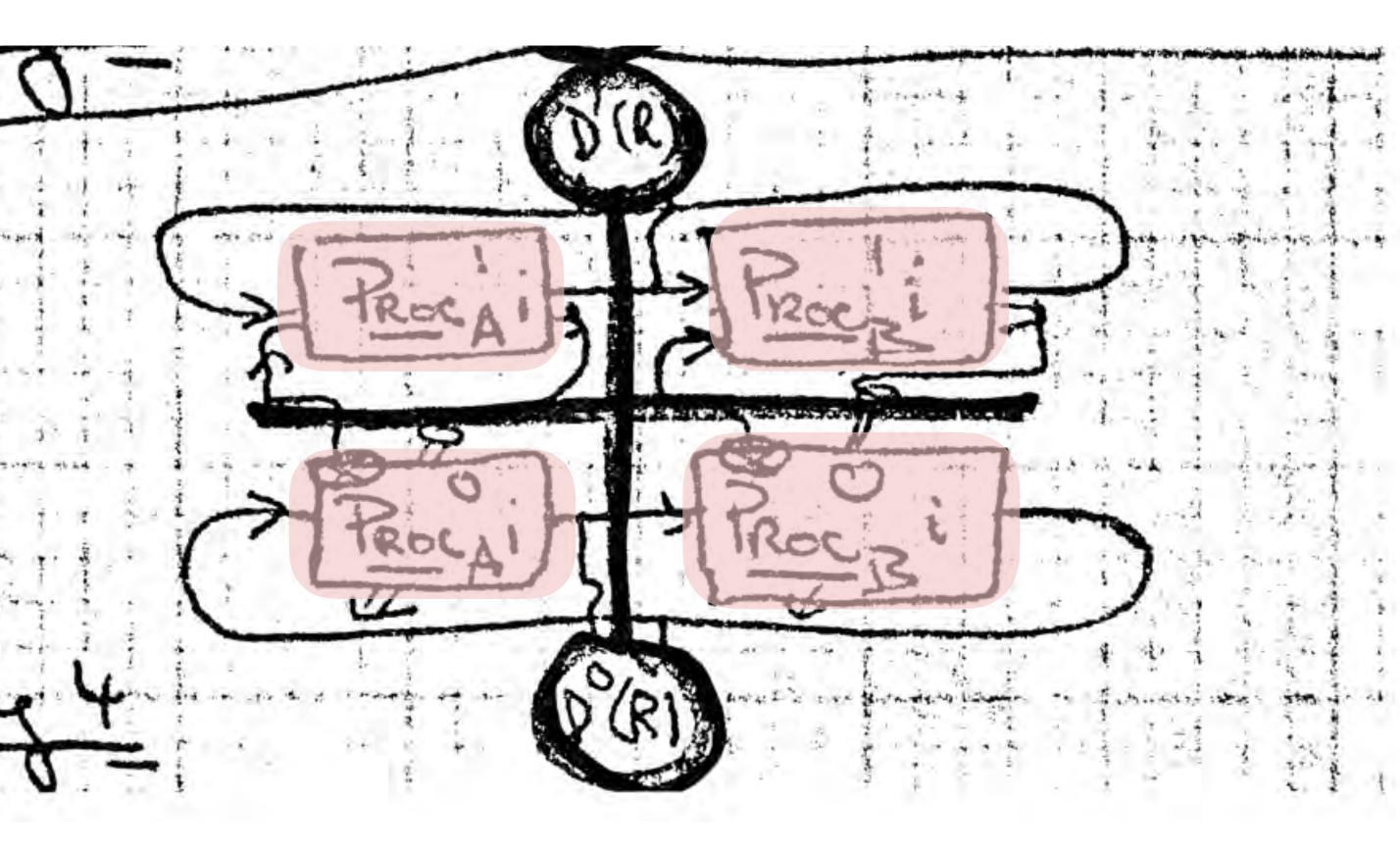


Interactions in a conversation can be observed to have levels of *goals* – and corresponding levels of *means* to achieve them.



Conversations are driven by processes.

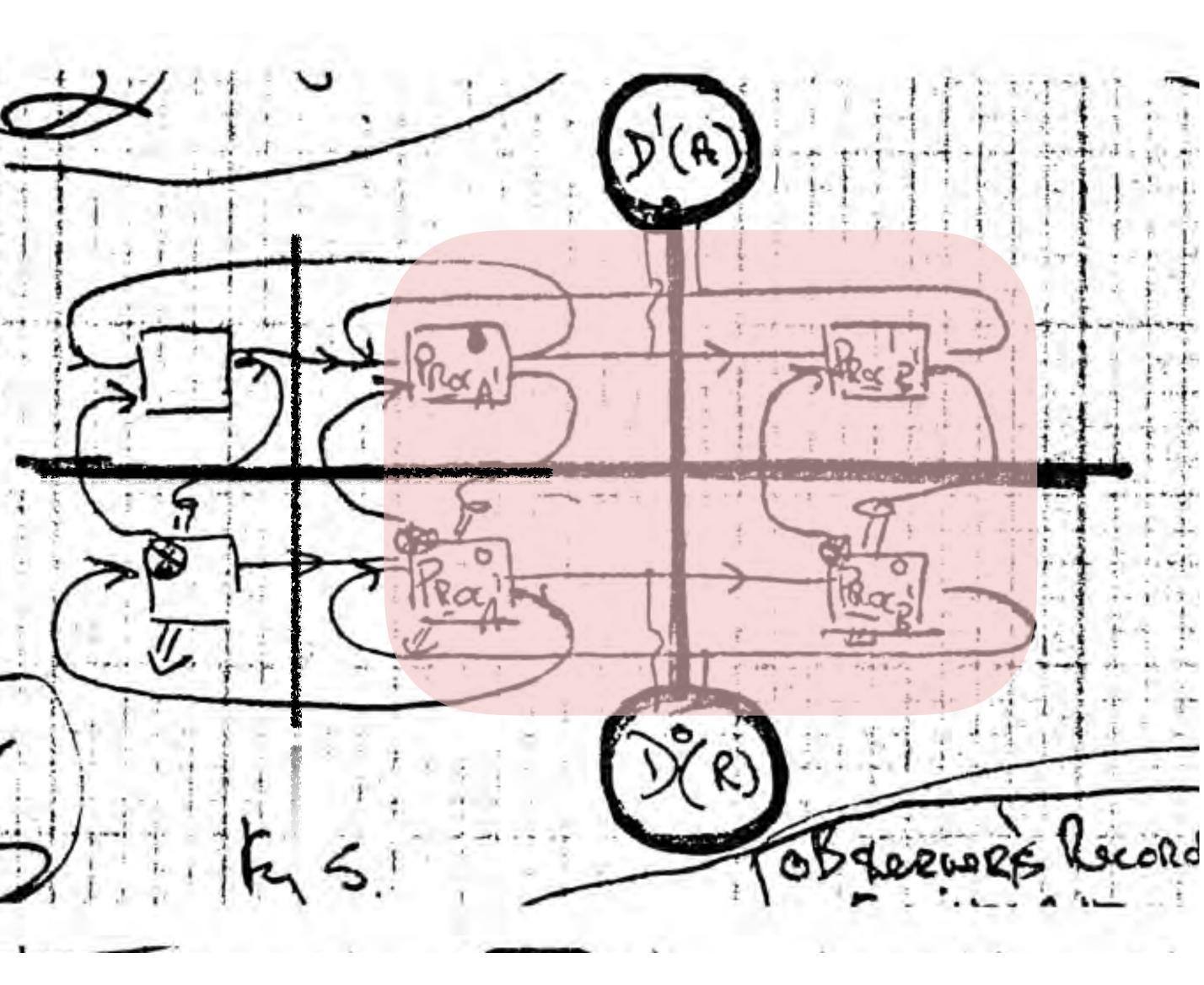
Gordon Pask "Aspects of Machine Intelligence" In *Soft Architecture Machines*, Nicholas Negroponte, ed., MIT Press 1976.



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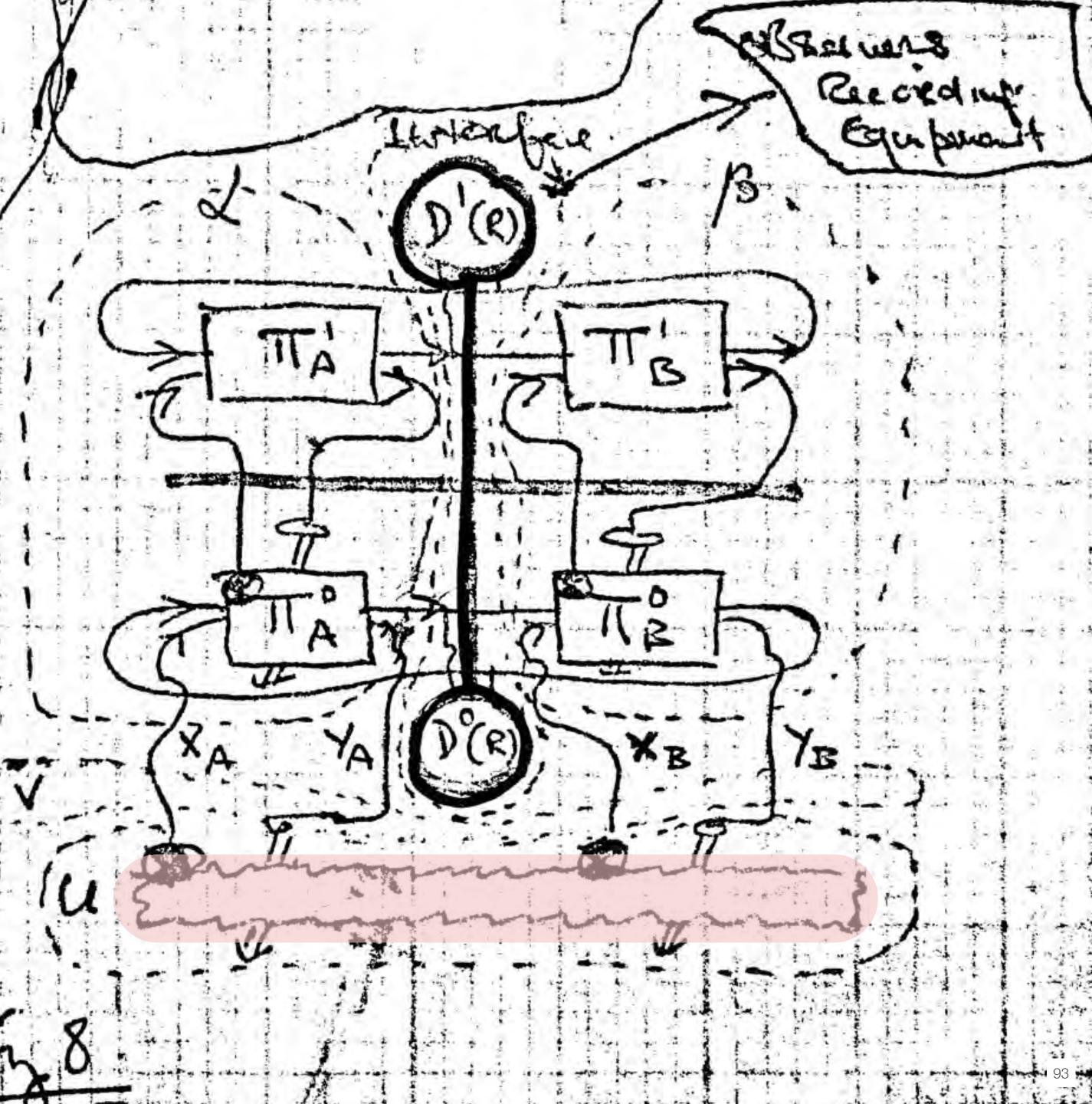
Conversations have similar structures and processes, whether between persons or internal to one person.

Gordon Pask "Aspects of Machine Intelligence" In *Soft Architecture Machines*, Nicholas Negroponte, ed., MIT Press 1976.



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Conversations may result in actions taken in an environment.



A computer can partner with a human in a conversation for design.

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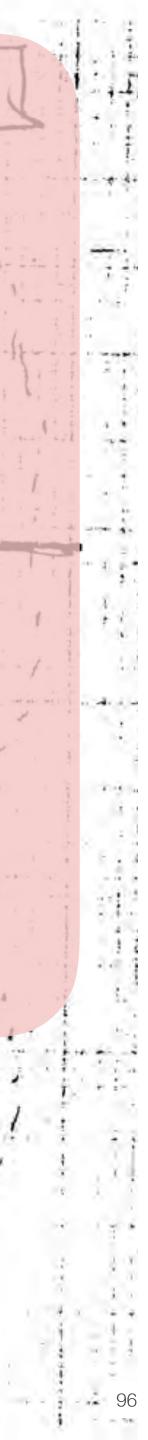
A computer can partner with a human in a conversation for design.

23 Goals r (* 1.1 \$5 Means Relations R: it welides En Romand · Brouts westernet "Spekie Black" Constructione environe dispan as "Spekie Black" Constructione environent netwele descriptor isquelichical tente verter El while He designed structure is file surely Realisible.

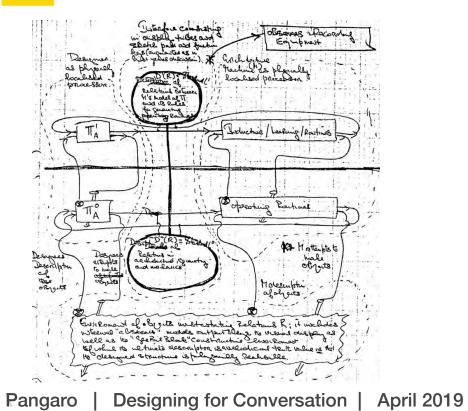


A computer can partner with a human in a conversation for design.

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The Architecture Machine proposes a human-computer conversation for design where the machine co-participates in evolving goals as well as means (methods).



Click for presentation on Paskian Principles

- **#1** Novelty Regulation
- #2 Uncertainty Regulation
- #3 Autonomy
- Paskian Interaction Principle #4 Conversation for Design





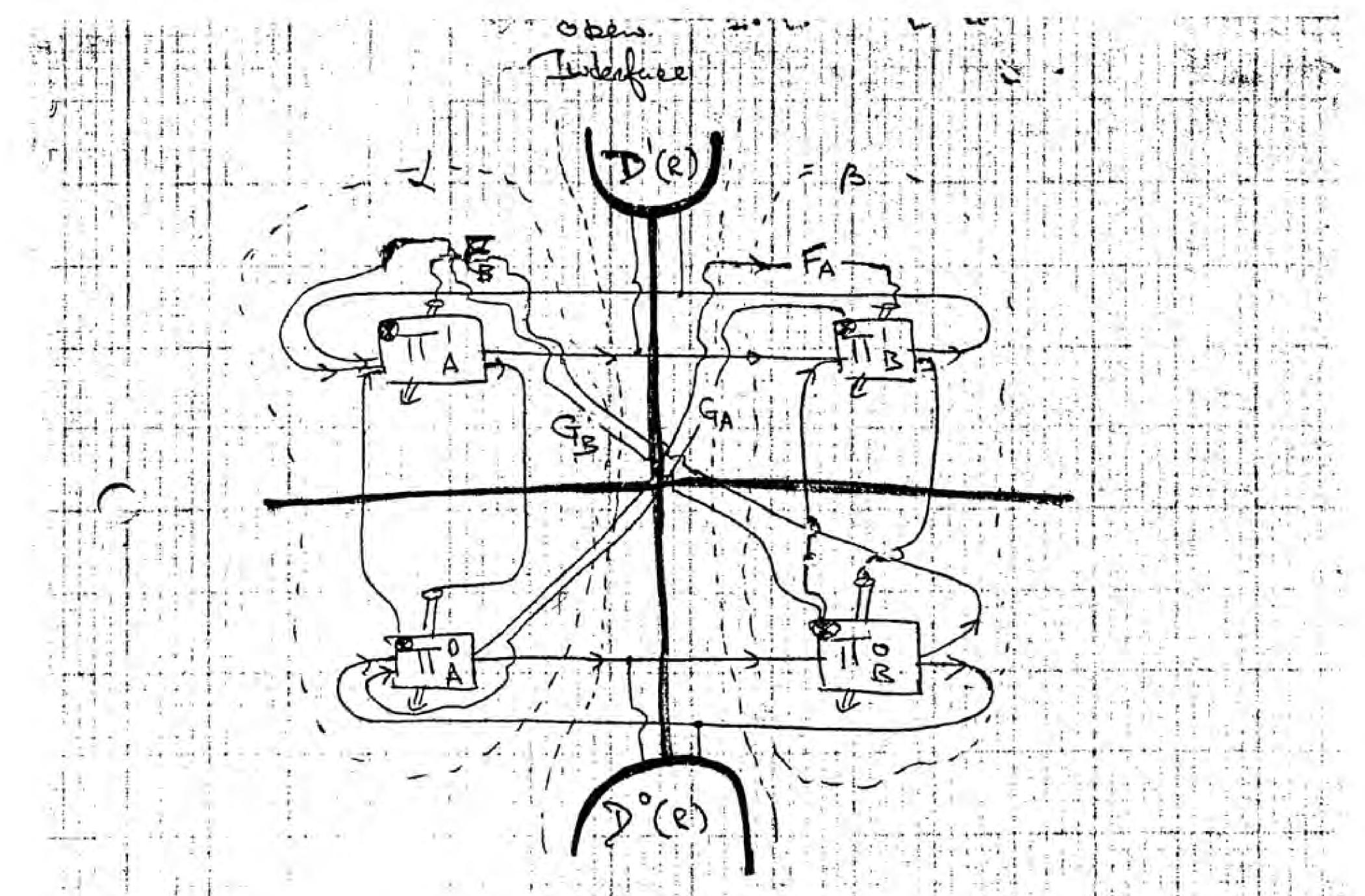
Conversation may be a dance where each participant construes the other to be part of a unified whole.

Gordon Pask. "Aspects of Machine Intelligence" In *Soft Architecture Machines*, Nicholas Negroponte, ed., MIT Press 1976.

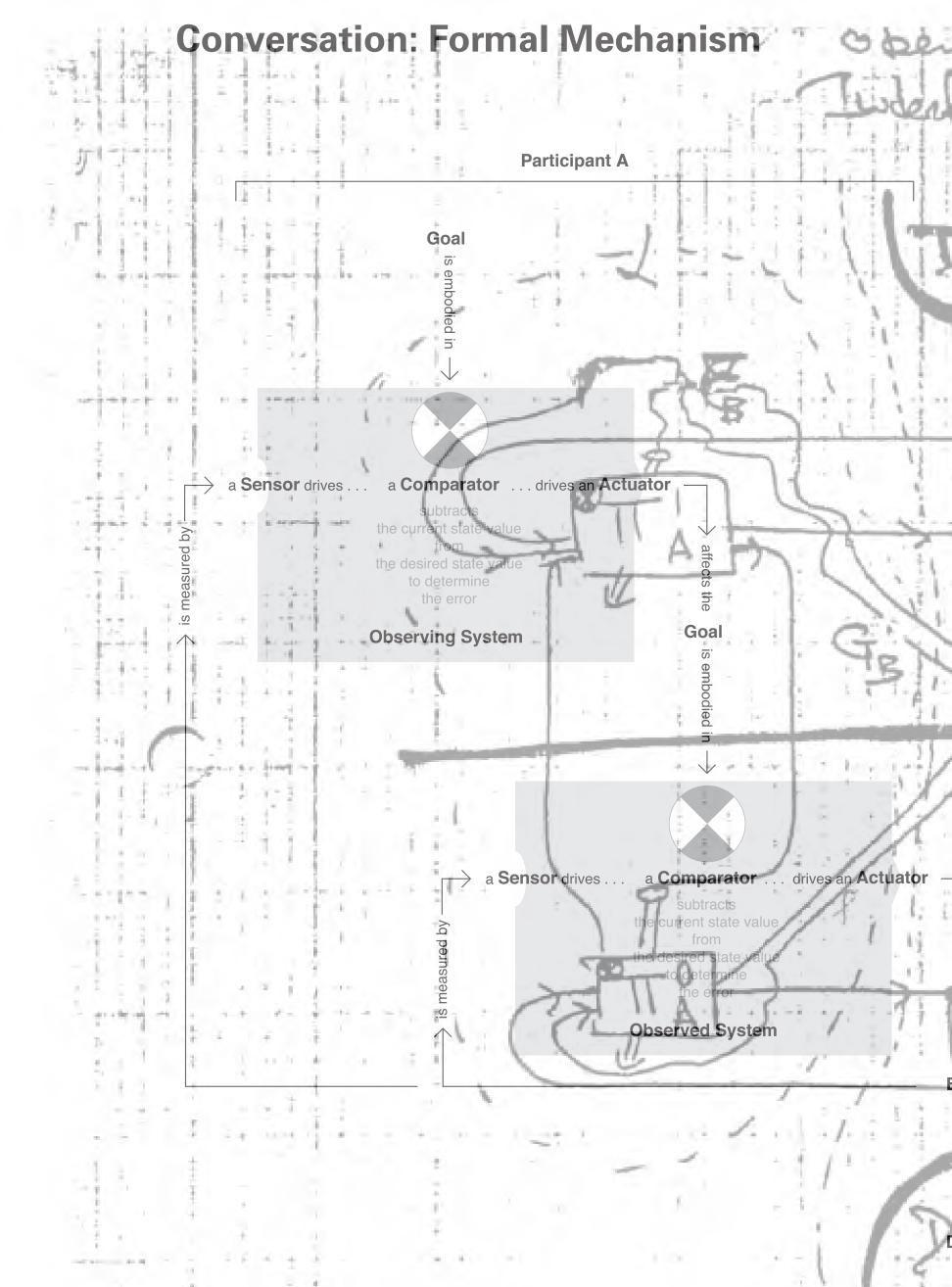
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\rightarrow affects the \rightarrow Enviro	an Actuator		e value	sor measures			
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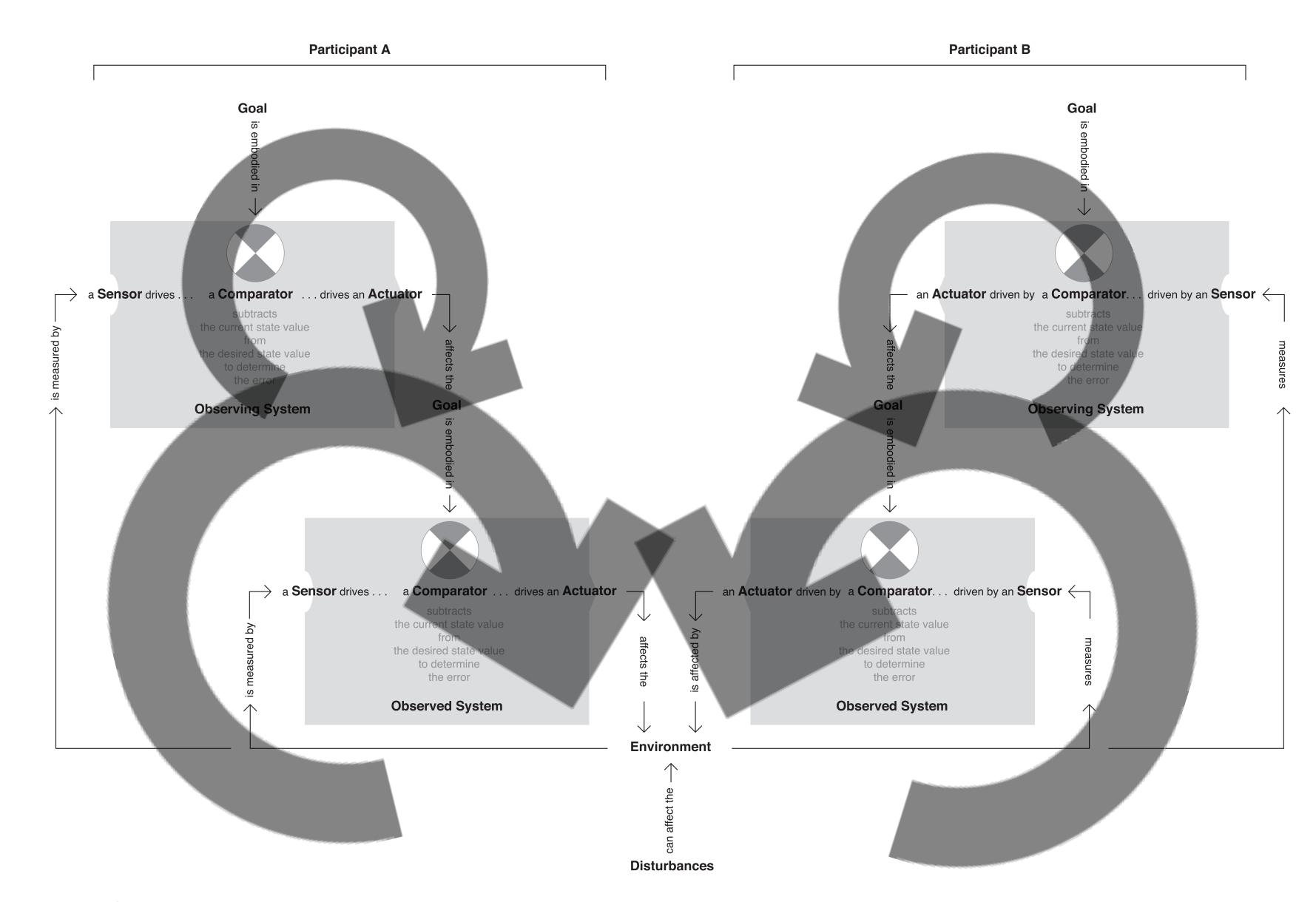
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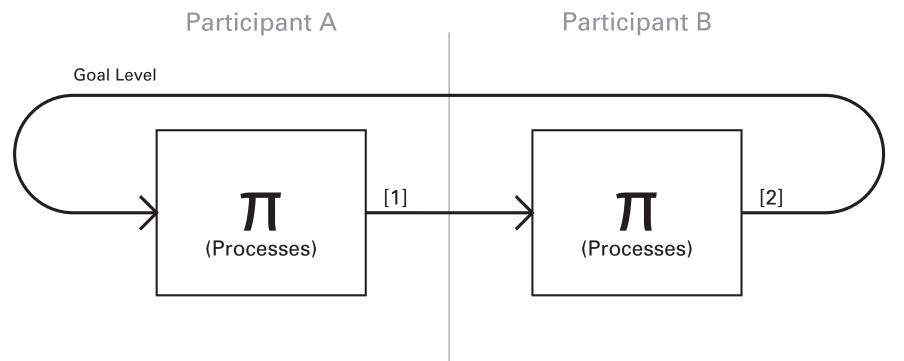


Conversation: Formal Mechanism





Conversation Theory after Pask

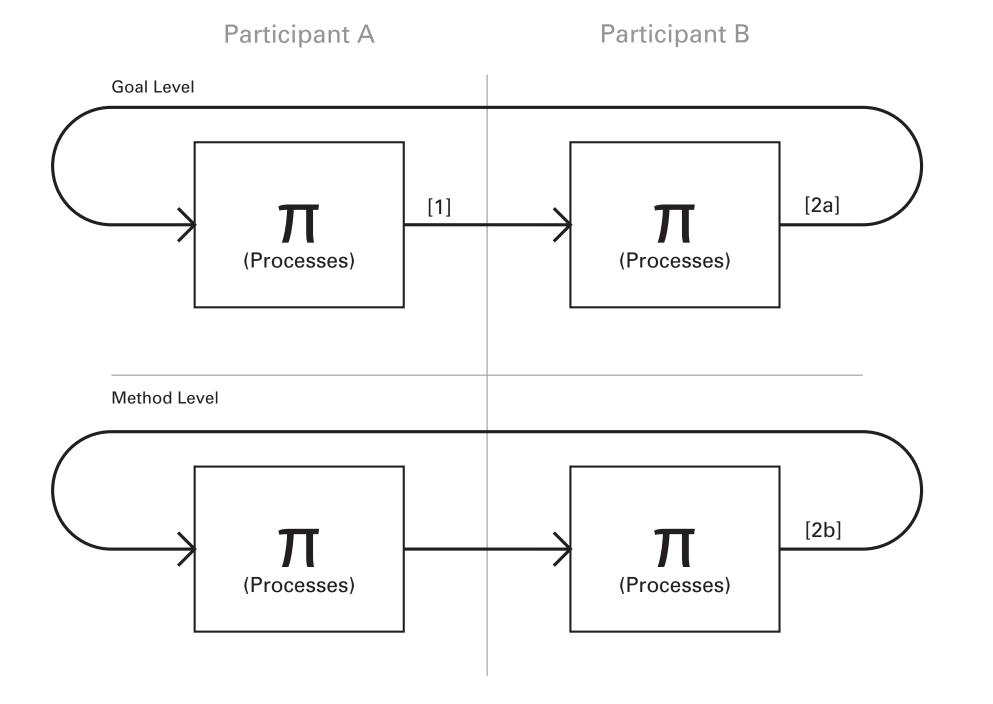


Example: [1] A: Can I have a hamburger?

[2] B: Sure, you want fries with that?



Architecture of Conversation Distinguishing Goals and Methods



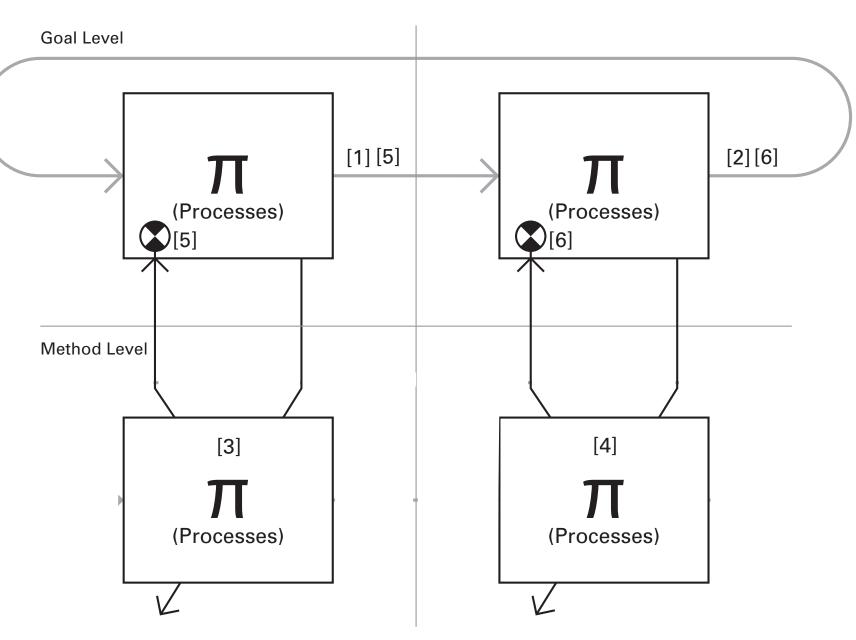
Example: [1] Can I have a hamburger?

[2] [a]Sure, [b]you want me to make you one here or get takeout?



Conversation (Objective) Interactions with 'it'

Participant A



Example:

[1] I'd like to have a hamburger dinner.

[3] [Performs the actions of takin meat out of the fridge, putting it the grill, turning the grill on, wat until it's done, etc.]

[5] I've cooked the hamburger an achieved my goal.

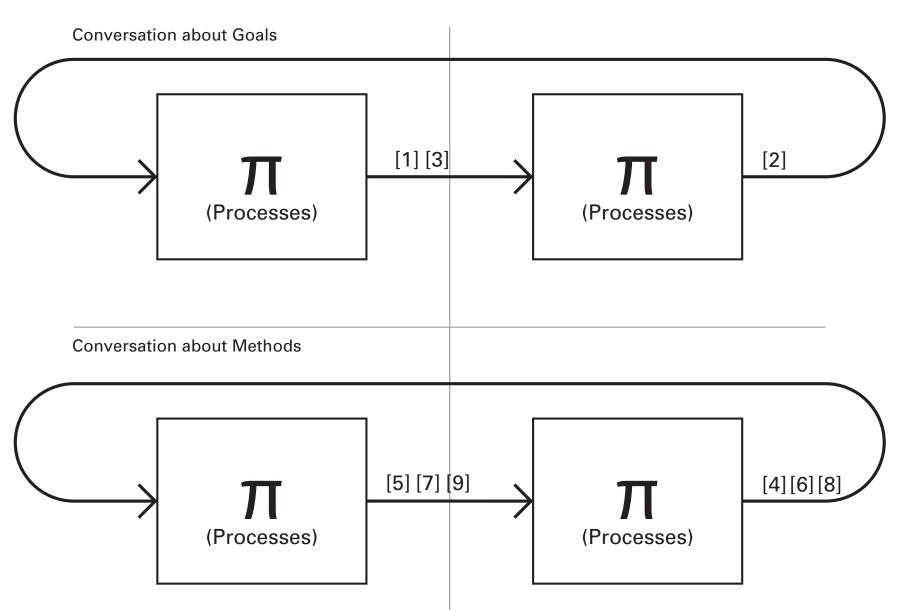
for	[2] I'd like to eat chicken. I'll go get takeout.
ing the it on atching	[4] [Gets coat, leaves the apartment, walks to the takeout place, orders the food, waits until it's done, pays for it, brings it home and then eats it.]
and	[6] I've eaten the chicken and achieved my goal.

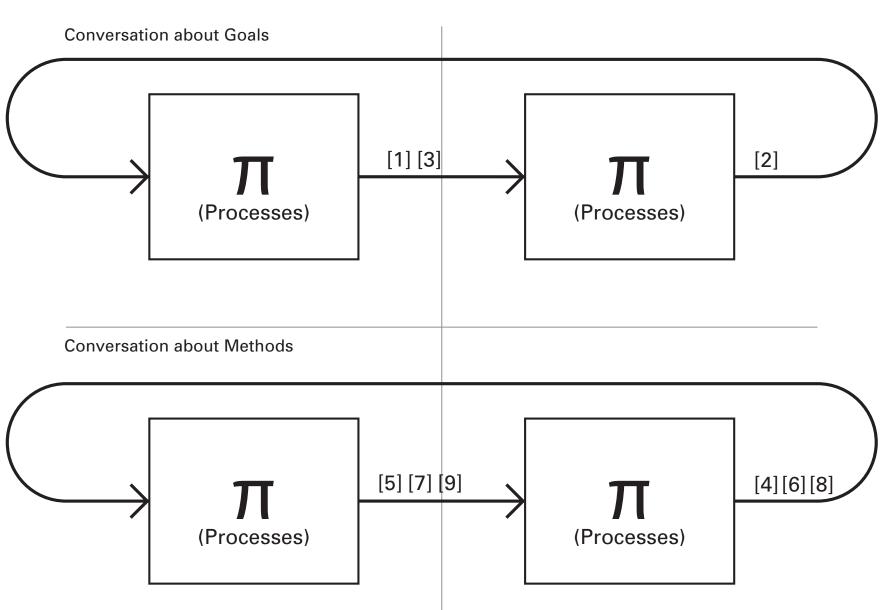
Participant B



Conversation (Subjective) Interactions that refer to 'I' and 'you'

Participant A





Example:

[1] I'm thinking we might want to have hamburgers for dinner.

[3] Chicken is fine too.

[5] You could go to that takeout place and bring it back.

[7] I've been much more often!

[9] Ok.

[2] Well... You've had them a lot lately. What about chicken instead?

Participant B

[4] We don't have any chicken defrosted.

[6] I went last time, so it's your turn.

[8] Yes, ok, I'll go after I finish reading my email.



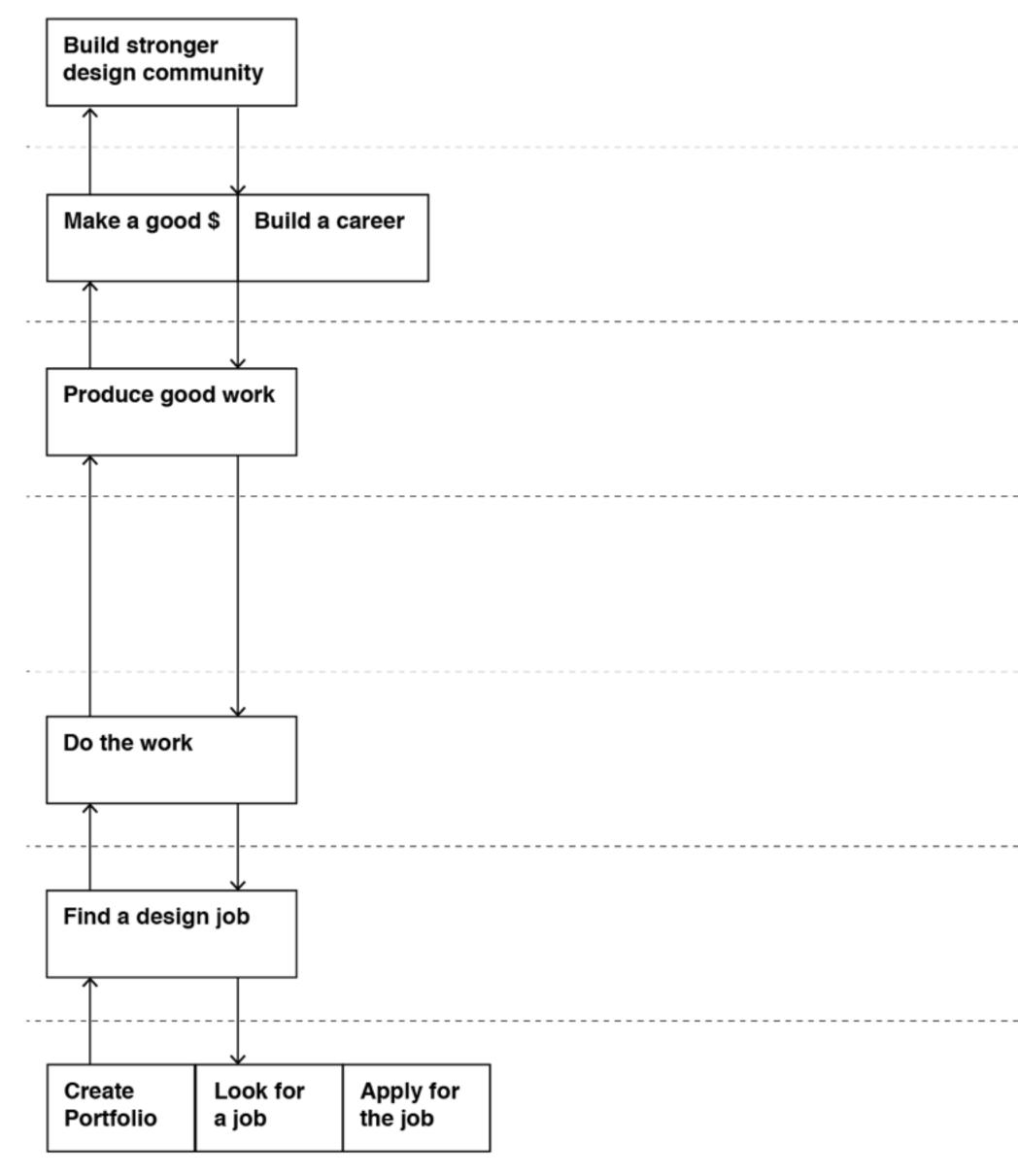
PROBLEM STATEMENT In India, there are many talented designers, but it is challenging to finding connections to other designers and design jobs.

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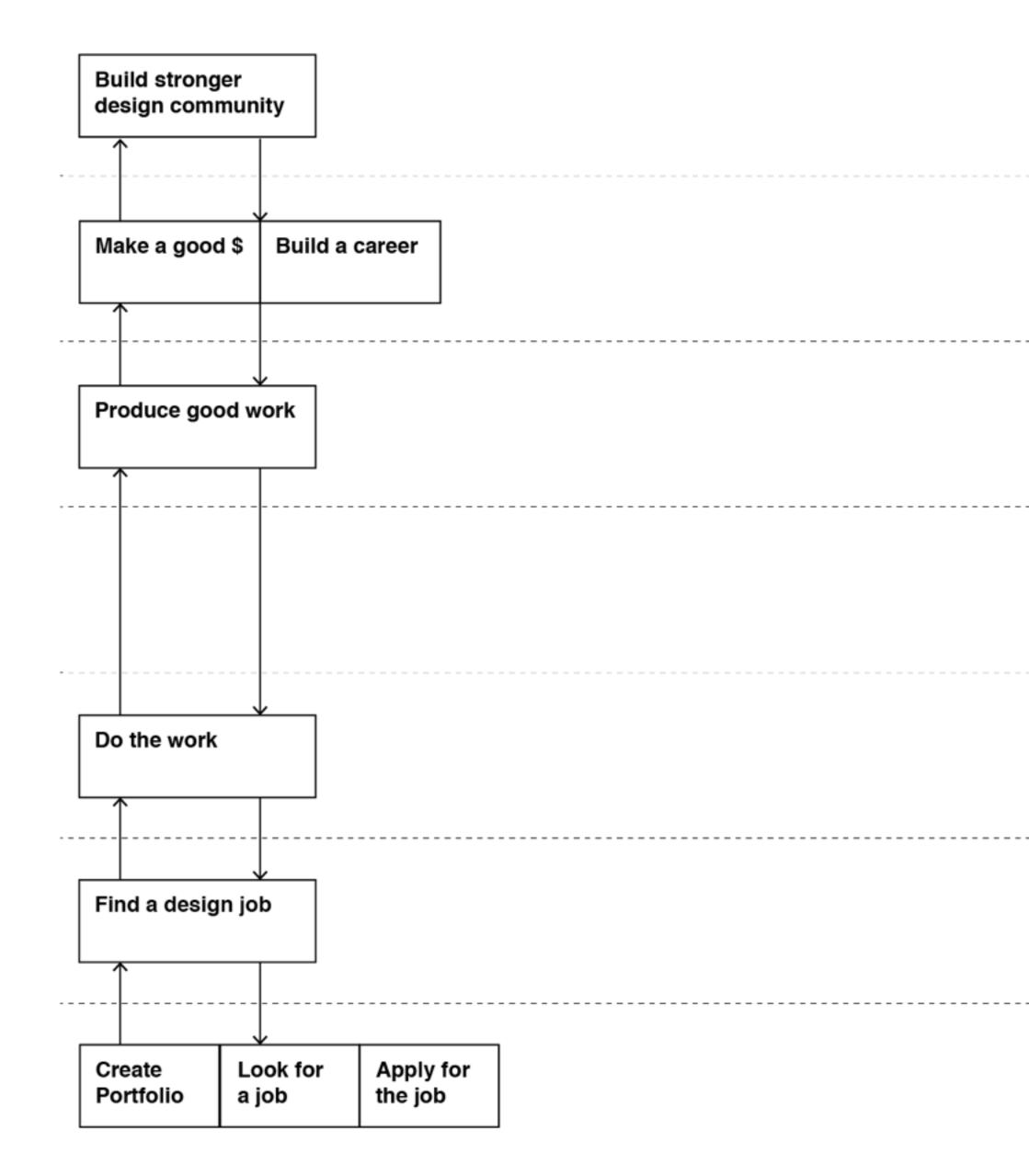
DESIGNERS IN INDIA



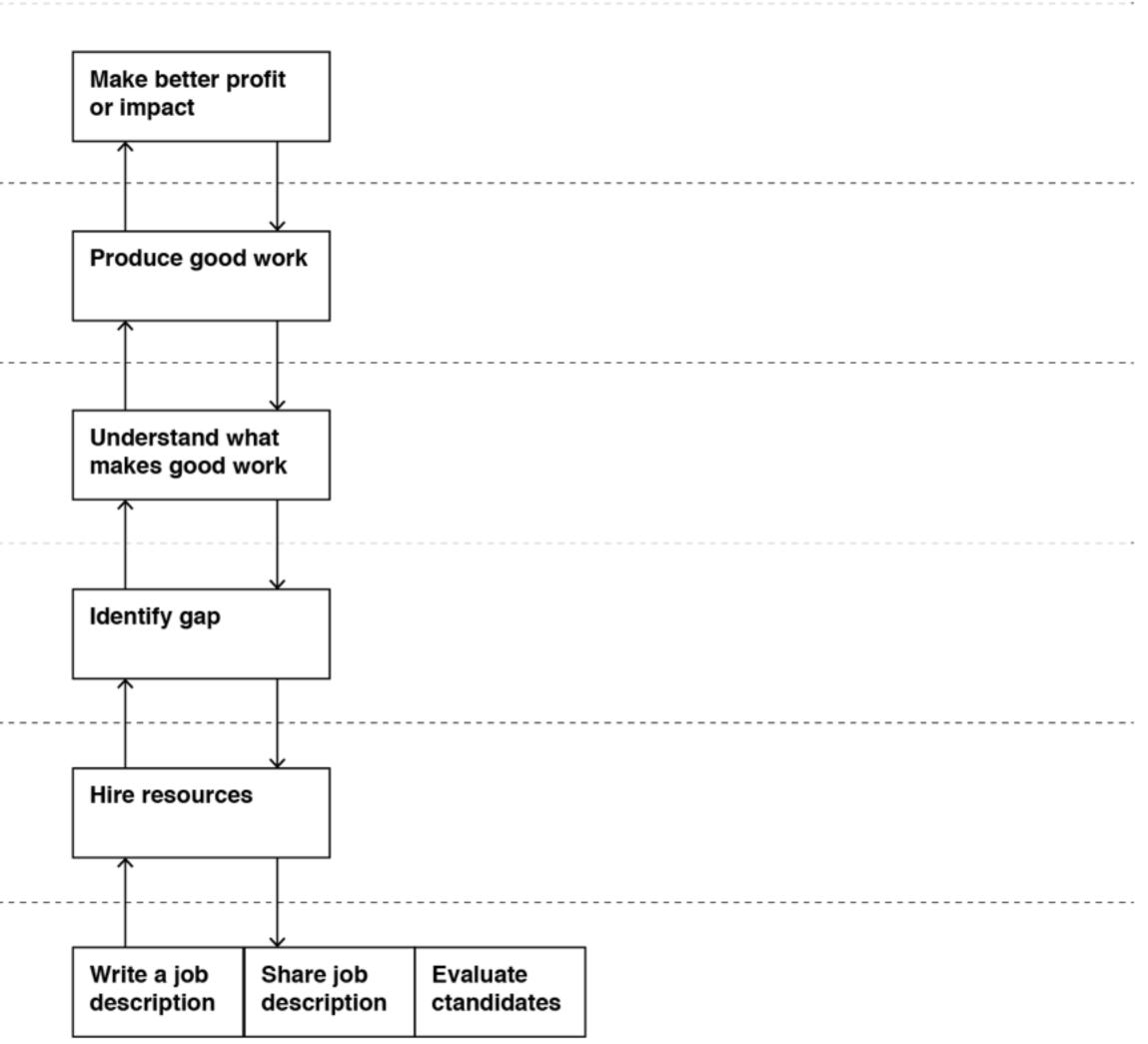
DESIGNERS

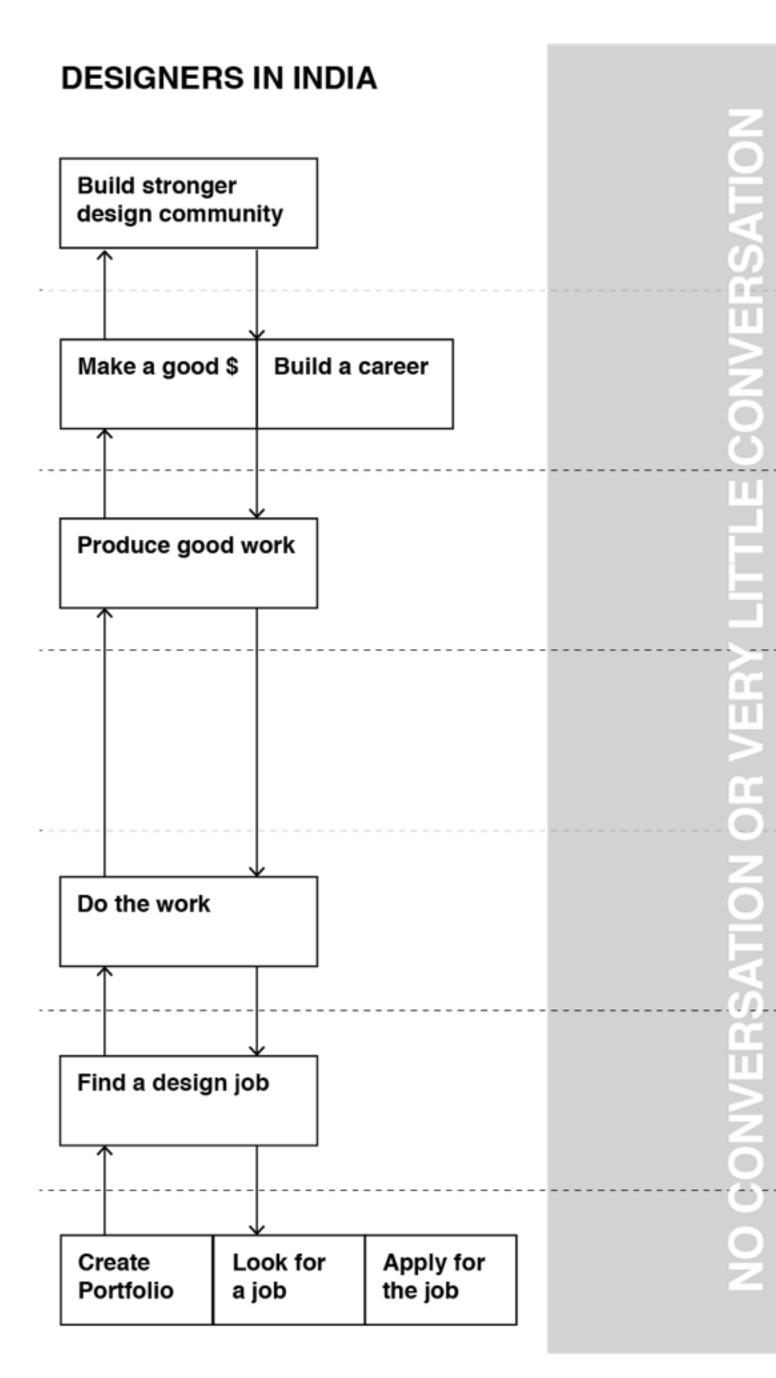
DESIGNERS AND COMPANIES

DESIGNERS IN INDIA



COMPANIES

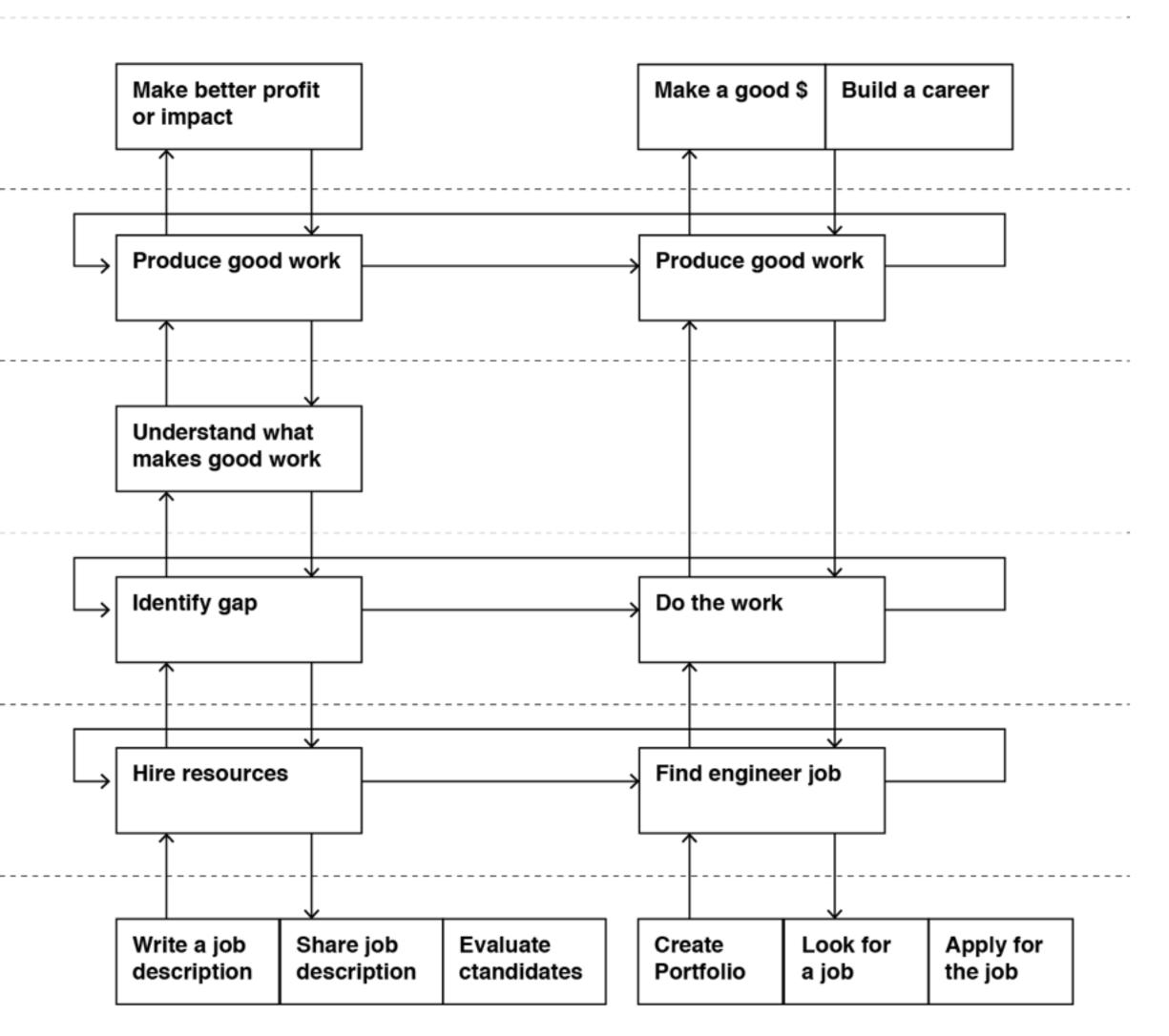




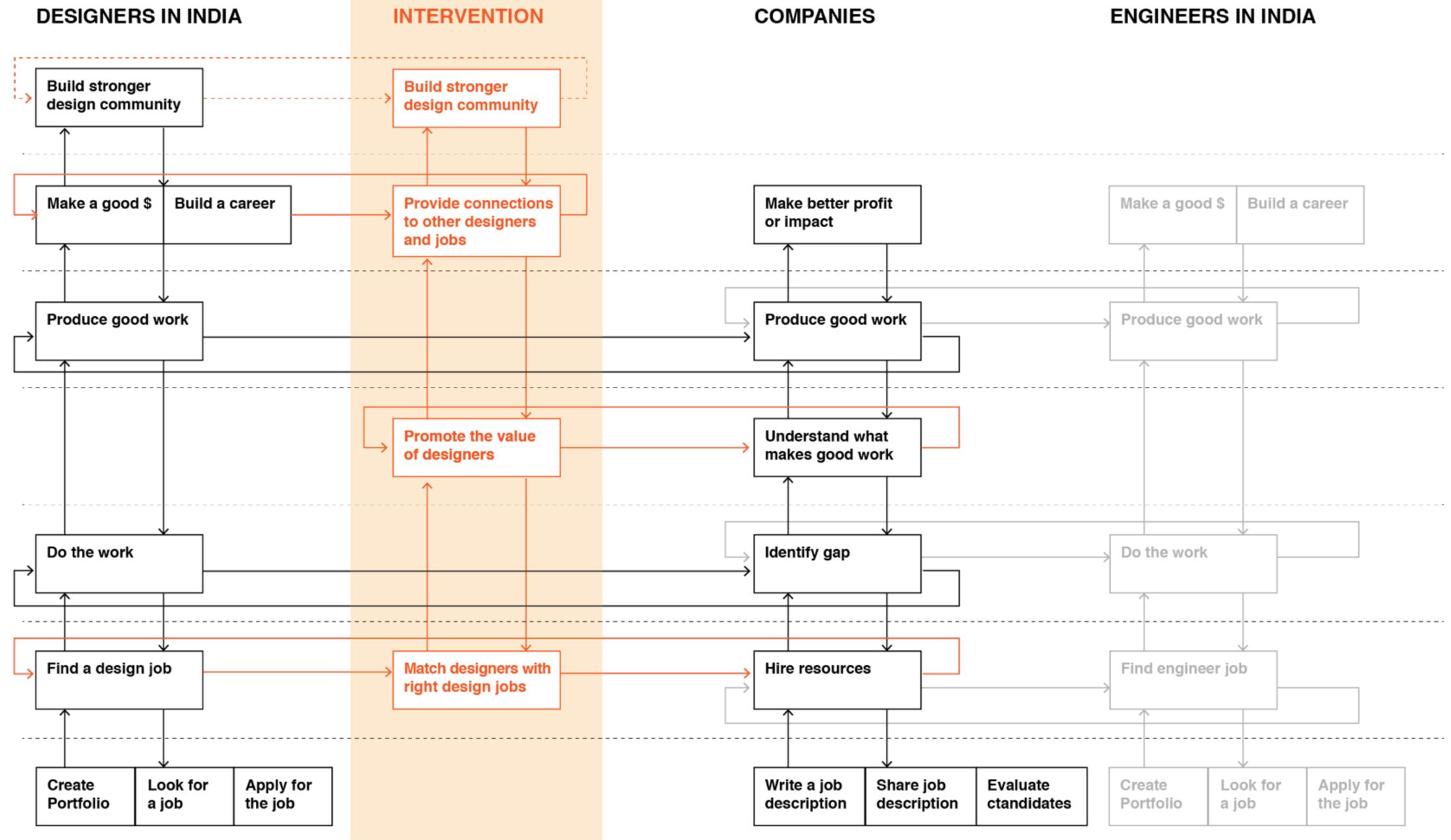
CURRENT CONVERSATION

COMPANIES

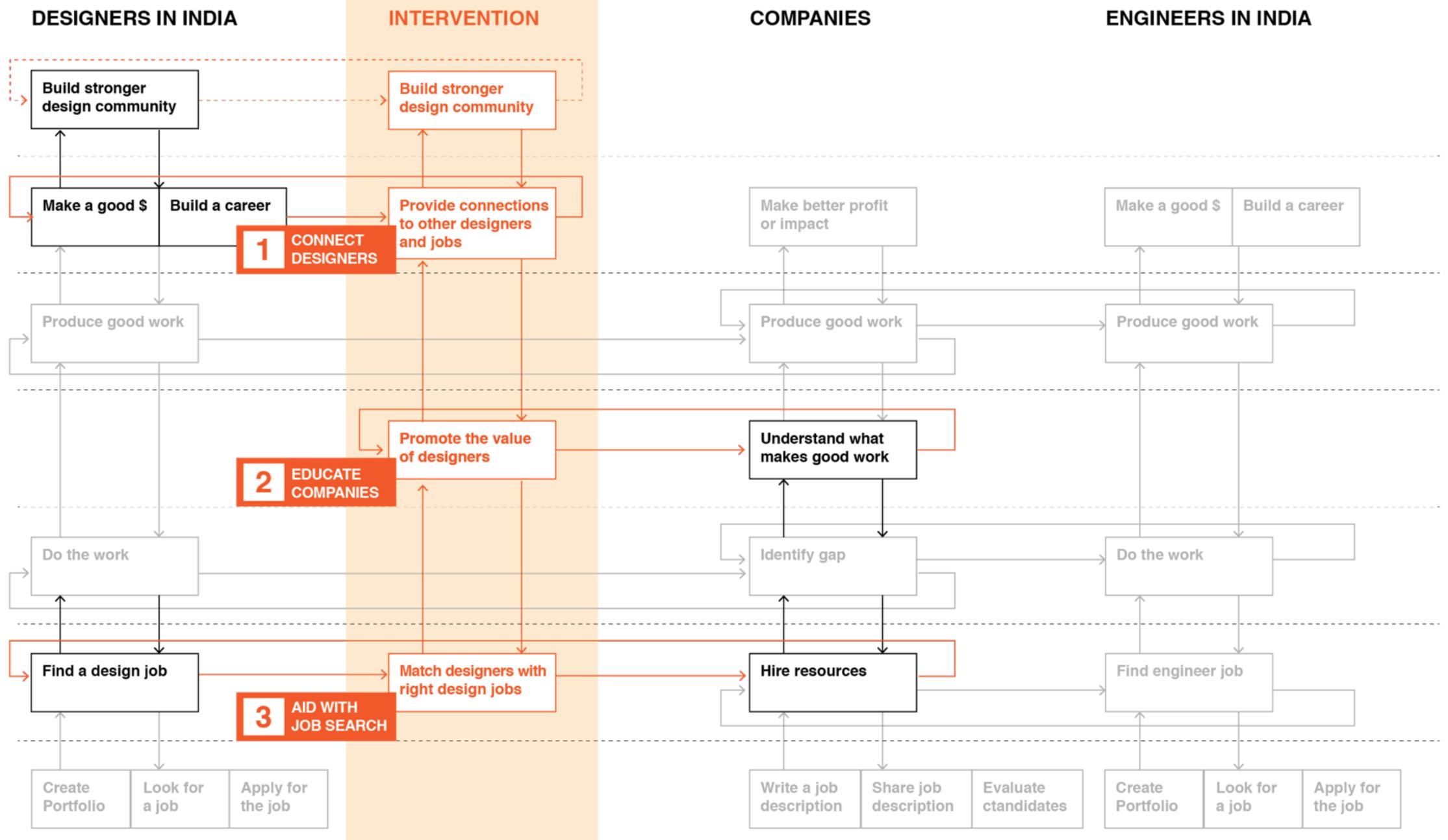
ENGINEERS IN INDIA



INTERVENTION TO INCLUDE DESIGNERS



INTERVENTION TO INCLUDE DESIGNERS



Conversation (Subjective Interactions) Summary of Elements

A: "Controlling Process (alias goal)"

is, for example, management policy defined at this level ("increase revenue by 4%") but carried out at another (see below). The distinction of levels is made in the course of the modeling process. The precise levels are chosen to display the flows of control and feedback that are of interest.

B: "Controlled Process (alias method)"

is, for example, the increase of revenue via hiring more salespersons, as dictated by the level above.

C: "Injunction to execute" is the actual

line of control that causes the lower level to respond, for example, the memorandum indicating start of a project or a budget authorization.

D: "Return of results of execution"

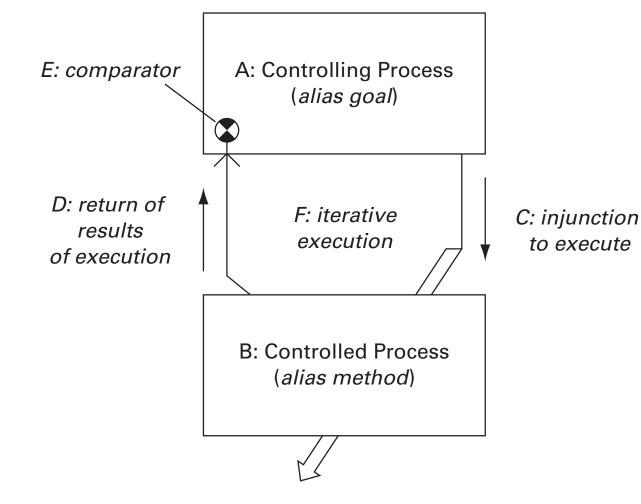
is the actual feedback of information to the higher level, as for example a report indicating results of specific manufacturing procedures, or an internal survey.

E: "Comparator"

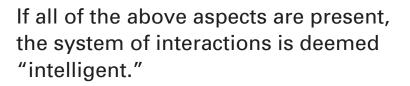
is the specific mechanism whereby the feedback information is used by comparing the actual result to the desired result, or original goal.

F: "Iterative execution"

of the entire loop takes into account the result from the comparator above, that causes changes in various processes, flows of control and feedback, etc., to make the entire loop more effective.



Closure occurs when comparator confirms execution of controlled processes is coherent with controlling processes (as when a goal is achieved by executing a successful method)



It must be emphasized that the two levels shown are only two of (possibly) many vertical levels; modeling by the observer leads to distinguishing multiple vertical layers in the conversation. Hence a box that appears at a "lower level" in one interaction may itself be at the "higher level" relative to a further box that appears below it.

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Conversation (Subjective Interactions) Summary of Elements

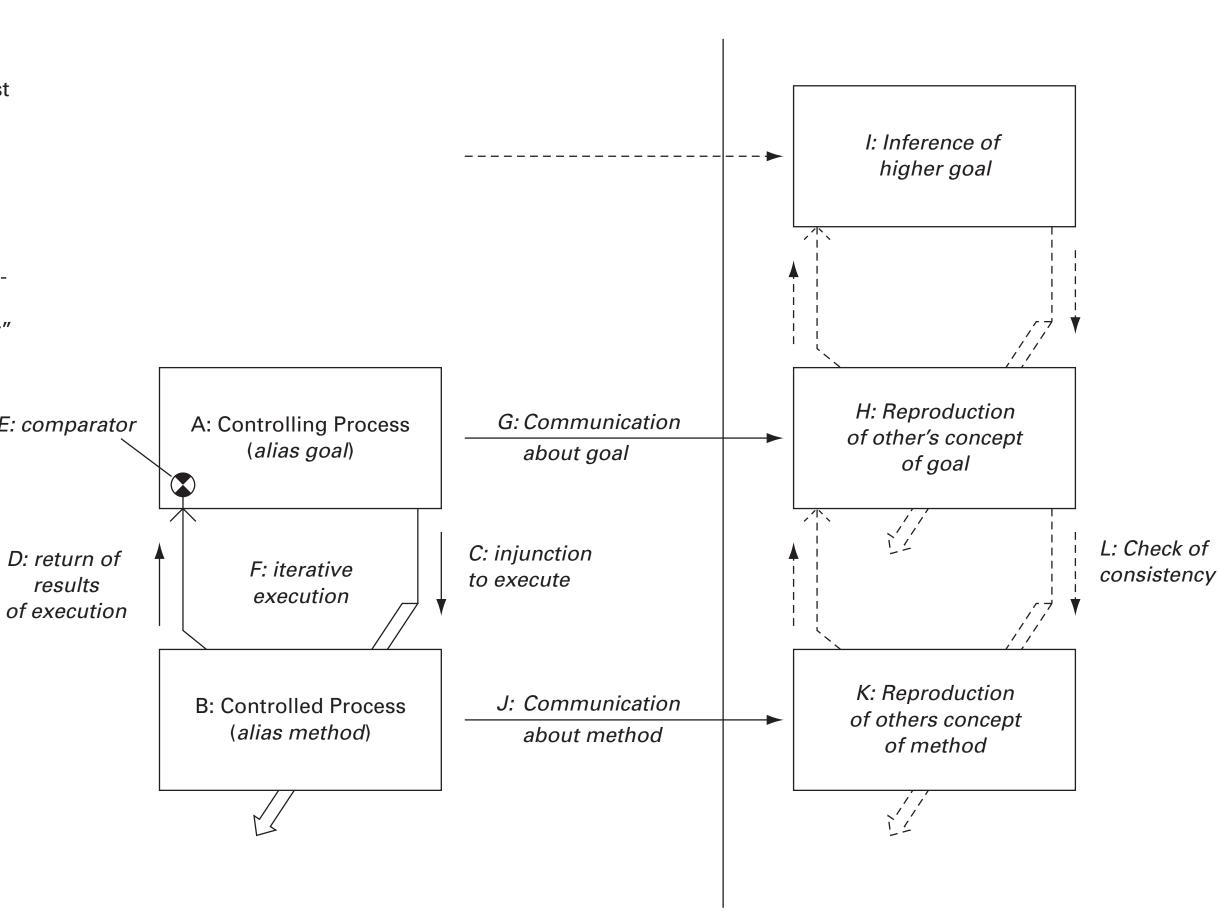
G: "Communication about goal"

is, for example, the communication to a customer that the company's value proposition expressed via its advertising is to provide products with the best cost/benefit ratio, or durability, for a given application; or, to an employee, that the company considers the employee to be an essential asset for its future.

H: The actual result of the communication is different than what came from the "sender." ("Sender" and "receiver" are held in quotations to retain a different meaning from that of information theory.) The "receiver" attempts "Reproduction of other's concept of goal" but this may not be accurately achieved.

I: "Inference of higher goal"

is the production of a higher goal for which the previous interaction is consistent and affirming. This is as if the "sender" had actually exchanged something (shown as the upper, dashed arrow) but in fact nothing has actually been "transferred" at this level, up to this point. Quite often, the context or the common experience of the two conversants provides enough for a higher-level goal to be correctly inferred. However, sometimes the "sender" creates a false context to encourage an incorrect inference, as for example when advertisers imply a food product is healthy simply because it uses the word "natural", or when a participant simply states "I have your interests at heart" while not having demonstrated this to be the case.



J: "Communication about method" is, for example, the communication to a customer about the details of a product's capabilities (which should affirm its stated goals, G); or, an exchange with an employee about the details of working conditions and health benefits from the corporation, which should show the method by which that employee is to be considered an asset to the corporation, relative to the goal as communicated in G.

K: "Reproduction of other's concept of method", as in H above, is subject to interpretation and later modification.

L: "Check of consistency"

is a reproduction in the "receiver" of the entire vertical loop of the "sender". This may show the consistency across the upper and lower levels, and thereby affirm understanding of the "sender's message." Of course, this can only be (at best) very close and (at worst) only a small fraction of the intended message. Alternatively, the consistency check can expose the inconsistency between communicated goal and method. For example, the loss of retirement pensions or erosion of healthcare coverage would contradict the assertion that the employee is a valued asset to the corporation. The "receiver" can either make queries back to the "sender" about intended meanings in order to clarify understanding (not shown in the diagram); or maintain a model of the perceived inconsistency in the "sender."



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